

BEFORE THE BOARD OF COUNTY COMMISSIONERS OF LANCASTER COUNTY, NEBRASKA

IN THE MATTER OF SECTION 5311 TRANSPORTATION OPERATING ASSISTANCE FUNDS  
REQUEST FOR THE LANCASTER COUNTY PUBLIC RURAL TRANSIT, FY 2019-2020 &  
2020-2021

RESOLUTION #: R-19-0011

WHEREAS, there are federal funds available under Section 5311 of the  
Federal Transit Act and for the Nebraska Public Transportation  
Assistance Program, and

WHEREAS, the Lancaster County Public Rural Transit desires to apply for  
said funds to provide public transportation in Lancaster County, plus  
the bordering communities of Adams, Ceresco, Cortland, Eagle, and  
Greenwood;

NOW, THEREFORE, BE IT RESOLVED that the Lancaster County Board of  
Commissioners hereby instructs Lancaster County Public Rural Transit to  
apply for said funds. Said funds are to be used for the Lancaster  
County Public Rural Transit transportation operations in the FY 2019-20  
and FY 2020-21 Application for Public Transportation Assistance.

Moved by Commissioner: \_\_\_\_\_

Seconded by Commissioner: \_\_\_\_\_

Motion carried \_\_\_\_\_ to \_\_\_\_\_

Approved this 26th day of February, 2019 \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

APPROVED AS TO THIS FORM

This 26th day of February, 2019

\_\_\_\_\_  
Pat Condon, County Attorney

## Notice of Hearing

Notice is hereby given that a public hearing will be held by Lancaster County Public Rural Transit in the County Commissioners Chambers, Room 112, in the County-City Building at 555 South 10<sup>th</sup> February 26, 2019 at 9:00 a.m. for the purpose of considering a project for which financial assistance is being sought from the Federal Transit Administration, pursuant to the Federal Transit ACT (FTA), generally described as follows:

Description of the Project: Purpose of the Project is for operating assistance to provide general public transportation. Location of the Project is Lancaster County and the surrounding communities of Adams and Cortland (Gage County), Greenwood and Eagle (Cass County), and Ceresco (Saunders County). The estimated costs of the Project by source of funds are \$161,726 in federal funds, \$41,966 in state funds, and \$41,966 in local funds from Lancaster County. Project length is from July 2019 until June 2021.

Elderly and Disabled: Lancaster County Public Rural Transit will take into consideration the special needs of the elderly and persons with disabilities.

These services are open to the general public, with special consideration given to low income, seniors, and persons with disabilities.

General Public: Lancaster County Public Rural Transit is available to provide transportation services for the general public.

Este anuncio contiene informacion sobre una audiencia publica que se llevara a cabo por Lancaster County Public Rural Transit en February 26, 2019 9:00 a 555 South 10<sup>th</sup>. El proposito de esta audiencia es para discutir cambios de servicios y tarifas. Para obtener una copia traducida de este aviso, o de otros documentos liberados publicamente relacionados con esta audiencia, incluyendo minutos de las reuniones, favor de ponerse en contacto por telefono al 402-441-8815. Un interprete sera proporcionado para asistir a usted.

# Summary Page

## NEBRASKA

Good Life. Great Journey.

DEPARTMENT OF TRANSPORTATION

### 5311 Funding Application for Public Transportation Operating Assistance Fiscal Year: 2019-2021



## Public Transit

For any questions please email:

[frank.faughn@nebraska.gov](mailto:frank.faughn@nebraska.gov) (mailto:frank.faughn@nebraska.gov)

#### Federal Reimbursement Calculation – Operating Costs

1	Operating Costs (total from Page 3)	\$125000
2	Project Income (From above)	\$9000
3	Estimated Net Operating Deficit (Line 1 minus Line 2)	\$116000
4	Federal Funds Requested (50% of Line 3)	\$58000
5	Remaining Net Deficit (Line 3 minus Line 4)	\$58000

#### State Reimbursement Calculation – Operating Costs

6	State Funds Requested (50% of Line 5)	\$29000
7	Local Matching Funds Required (Line 3 minus Line 4 minus Line 6)	\$29000

#### Federal Reimbursement Calculation – Non-Operating Costs

8	Non-Operating Costs (Total from Page 4)	\$129658
9	Federal Funds Requested (80% of Line 8)	\$103726

#### State Reimbursement Calculation – Non-Operating Costs

10	State Funds Requested (10% of Line 8)	\$12966
11	Local Matching Funds Required (Line 8 minus Line 9 minus Line 10)	\$12966

Funds By Source

12	Federal	\$161726
13	State	\$41966
14	Local Match	\$41966

Local Matching Funds Source

Lancaster County Board of Commissioners,
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Out of Travel Description

Authorized By:	Jennifer Brinkman: Chairperson of Lancaster County Board of Commisioners
Authorized Date:	02/26/2019

# Management Structure Lancaster County Public Rural Transit

Lancaster County Board of Commissioners: Jennifer Brinkman/Chair, Roma Amundson, Sean Flowerday, Deb Schorr, and Rick Vest.

Chief Administrative Officer: Kerry Eagan

Administrative Aide: Minette Genuchi

Subcontractor Director: Randall S. Jones, Aging Partners

Transit Manager: Mitch Sump

Accounting & Reporting: Al Neeman

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Prepared By: Mitch Sump

Approved By: \_\_\_\_\_ Date: February 26th, 2019

Title: Chairperson of Lancaster County Board of Commissioners

# 5311 Operating Assistance State Fiscal Year 2019-2020

## Exhibit A

- a. Certification of Compliance with Civil Rights
- b. Certification of Restrictions on Lobbying
- c. Labor Narrative
- d. Acceptance of Special 5333(B) of Title 49
- e. Certifications and Assurances for FTA Programs
- f. Transit Asset Management (TAM) Certification and Accountable Executive

# NDOT's Commitment to Civil Rights

Title VI of the Civil Rights Act of 1964 is a Federal law that protects individuals and groups from discrimination on the basis of their race, color, and national origin in programs and activities that receive Federal financial assistance. Reference to Title VI includes other civil rights provisions of Federal statutes and related authorities to the extent they prohibit discrimination in programs and activities receiving Federal financial assistance.

Title VI compliance is defined as when the recipient has effectively implemented all of the Title VI requirements or can demonstrate that every good faith effort has been made toward achieving this end.

All programs conducted by NDOT and the Section 5311 program recipients must meet the requirements. Education, training, work opportunities, benefits, and provision of services are examples of programs that must meet the Title VI requirements, whether provided directly by the recipient or its subrecipients, agents, contractors, or other vendors.

Discrimination is defined as: (*action or inaction*) whether intentional or unintentional, through which a person or group, solely because of race, color, national origin, disability, sex, age or income status, has been otherwise subjected to unequal treatment or impact, under any program or activity receiving Federal financial assistance.

A complaint may be filed by any individual or group that believes they have been subjected to discrimination or retaliation based on their race, color, national origin, sex, age, disability or income status. The complaint may be filed by the affected party or a representative and must be in writing.

Section 5311 program recipients who feel they have been discriminated against by any NDOT employee or its agent/contractor may contact the NDOT Transit Section. A review will be made to determine if NDOT has jurisdiction to investigate the issues raised. If NDOT does not have jurisdiction, the complaint will be forwarded to the appropriate agency. If NDOT does have jurisdiction, the allegations will be investigated and an attempt will be made to resolve the matter. If violations are found and negotiations to correct the violation are unsuccessful, enforcement proceedings may be initiated to attain compliance.

In addition, any individual or group who believes they have been discriminated against by an employee of a Section 5311 program recipient or its agent/contractor should first contact the Section 5311 recipient in writing. NDOT should also be notified of the complaint within 5 working days.

NDOT and the Section 5311 program recipients are prohibited from retaliating against any person because they reported an unlawful policy or practice, or made charges, testified, or participated in any complaint action under Title VI. If an individual or group believes they have been retaliated against, they should immediately contact NDOT to report their allegation. Filing a complaint with NDOT does not prevent an individual or group from seeking remedy through other resources.

Complaints should be addressed to:

Nebraska Department of Transportation  
Attn: Kari Ruse  
1400 Hwy 2  
PO Box 94759  
Lincoln NE 68509-4759  
(402) 479-4694  
[kari.ruse@nebraska.gov](mailto:kari.ruse@nebraska.gov)

# Certification of Compliance with Civil Rights

29 U.S.C. § 623, 42 U.S.C. § 2000 42 U.S.C. § 6102, 42 U.S.C. § 12112 42 U.S.C. § 12132,  
49 U.S.C. § 5332 29 CFR Part 1630, 41 CFR Parts 60 et seq.

Civil Rights – The following requirements apply to the underlying contract:

- (1) Nondiscrimination – In accordance with Title VI of the Civil Rights Act, as amended, 42 U.S.C. § 2000d, Section 303 of the Age Discrimination Act of 1975, as amended, 42 U.S.C. § 6102, Section 202 of the Americans with Disabilities Act of 1990, 42 U.S.C. § 12132, and Federal transit law at 49 U.S.C. § 5332, the applicant certifies it will not discriminate against any employee or applicant for employment because of race, color, creed, national origin, sex, age, or disability. In addition, the Applicant agrees to comply with applicable Federal implementing regulations and other implementing requirements FTA may issue.
- (2) Equal Employment Opportunity – The following equal employment opportunity requirements apply to the underlying contract:
  - (a) Race, Color, Creed, National Origin, Sex – In accordance with Title VII of the Civil Rights Act, as amended, 42 U.S.C. § 2000e, and Federal transit laws at 49 U.S.C. § 5332, the Applicant agrees to comply with all applicable equal employment opportunity requirements of U.S. Department of Labor (*U.S. DOL*) regulations, “Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor,” 41 C.F.R. Parts 60 *et seq.* (*which implement Executive Order No. 11246, “Equal Employment Opportunity,” as amended by Executive Order No. 11375, “Amending Executive Order 11246 Relating to Equal Employment Opportunity,” 42 U.S.C. § 2000e note*), and with any applicable Federal statutes, executive orders, regulations, and Federal policies that may in the future affect construction activities undertaken in the course of the Project. The Applicant agrees to take affirmative action to ensure that applicants are employed, and that employees are treated during employment without regard to their race, color, creed, national origin, sex, or age. Such action shall include, but not be limited to, the following: employment, upgrading, demotion or transfer, recruitment or recruitment advertising, layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. In addition, the Applicant agrees to comply with any implementing requirements FTA may issue.
  - (b) Age – In accordance with Section 4 of the Age Discrimination in Employment Act of 1967, as amended, 29 U.S.C. § § 623 and Federal transit law at 49 U.S.C. § 5332, the Applicant agrees to refrain from discrimination against present and prospective employees for reason of age. In addition, the Applicant agrees to comply with any implementing requirements FTA may issue.
  - (c) Disabilities – In accordance with Section 102 of the Americans with Disabilities Act, as amended, 42 U.S.C. § 12112, the Applicant agrees that it will comply with the requirements of U.S. Equal Employment Opportunity Commission, “Regulations to implement the Equal Employment Provisions of the Americans with Disabilities Act,” 29 C.F.R. Part 1630, pertaining to employment of persons with disabilities. In addition, the Applicant agrees to comply with any implementing requirements FTA may issue.
- (3) Disadvantaged Business Enterprise – To the extent authorized by Federal law, the Applicant agrees to facilitate participation by Disadvantaged Business Enterprises (*DBEs*) in the Project and assure that each subrecipient, lessee, third-party contractor, or other participant at their tier of the Project will facilitate participation by *DBEs* in the Project to the extent applicable.
- (4) Access to Services for Persons with Limited English Proficiency – The Applicant agrees to facilitate compliance with the policies of Executive Order No. 13166, “Improving Access to Services for Persons with Limited English Proficiency,” 42 U.S.C. Section 2000d-1 note, and follow applicable provisions of U.S. DOT Notice, “DOT Policy Guidance Concerning Recipients’ Responsibilities to Limited English Proficiency (*LEP*) Persons,” 70 Fed. Reg. 74087, December 14, 2005, except to the extent that FTA determines otherwise in writing.
- (5) The applicant also agrees to include these requirements in each subcontract financed in whole or in part with Federal assistance provided by FTA, modified only if necessary to identify the affected parties.



The following information is required by the Federal Transit Administration. The economic/racial/ethnic composition of your governing body or riders will not be considered as a factor in awarding grants.

Check Yes or No:

Has your transit agency received any Title VI nondiscrimination complaints in the last fiscal year? If Yes, attach all documentation to this application.  Yes  No

Our governing body (*Board of Director, City Council, etc.*) is made up predominantly of minority and/or low-income individuals.  Yes  No

Potential riders/clients of our transportation service will be predominantly minority and/or low income individuals.  Yes  No

\_\_\_\_\_  
*Signature*

*Chairperson of Lancaster County Board of Commissioners*  
*Title*

*2/26/19*  
\_\_\_\_\_  
*Date*

# CERTIFICATION OF RESTRICTIONS ON LOBBYING

I, Jennifer Brinkman: Chairperson of Lancaster County Board of Commissioners, hereby certify on  
(Name and Title of Grantee Official)

behalf of Lancaster County Public Rural Transit

- (1) No federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any persons for influencing or attempting to influence an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, or an officer in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit standard Form LLL, Disclosure Form to Report Lobbying", in accordance with its instructions.
- (3) The undersigned shall require that language of this certification be included in the award documents for all subawards at all tiers, (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance is placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

(Note: Pursuant to 31 U.S.C. Section 1352 (c) (1)-(2)(A), any person who makes a prohibited expenditure or fails to file or amend a required certification or disclosure form shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such expenditure or failure.)

The applicant certifies or affirms the truthfulness and accuracy of each statement of its certification and disclosure, if any. In addition, the Applicant understands and agrees that the provisions of 31 U.S.C. A 3801, et seq., apply to this certification and disclosure, if any.

\_\_\_\_\_  
Signature

Chairperson of Lancaster County Board of Commissioners  
Title

2/26/19  
Date

\_\_\_\_\_  
Date

## LABOR

Please state in a narrative how this project will affect the employment conditions of your employees. What is the anticipated impact on employment of eligible public mass transportation providers in your proposed service area? Federal Transit Administration rules and regulations have defined public transportation as "any transportation by bus or rail or other conveyance, either publicly or privately owned, which provides to the public general or special services on a regular and continuing basis," Public transportation does not include the following: 1) school bus, charter or sightseeing service; 2) exclusive ride taxi service; and 3) service to individuals or groups which excludes use by the general public (*i.e., age or income restrictions*).

The term transportation service area of your project is intended to include the geographic area over which the project is operated and the area whose population is served by the project, including adjacent areas affected by the project.

Note: An eligible recipient includes those providers who are approved to receive Section 5311 assistance and other providers who qualify for Section 5311 assistance.

Applicants for Section 5311 assistance are required to abide by all conditions of the Section 5333 (b) warranty (see page d. of Exhibit A.) A listing of eligible public mass transportation providers in the applicant's transportation service area should be provided with this document.

### Labor Narrative:

(See Attached)

### Sample labor narrative available here:

<https://s3.amazonaws.com/documentsndot/NDOR Documents/FTA+ Section 5311 Funding Documents/sample-narrative-labor.docx>

Labor Narrative:

Lancaster County Public Rural Transit (LCPRT) is applying for federal and state funds to operate the rural transit program in the service area indicated in its Section 5311 application for the 2019/2021 project years which will begin on July 1<sup>st</sup>, 2019. The operating funds will be used for a portion of the budget for payroll expenses to employ twelve staff members who are drivers, dispatcher, and support staff. LCPRT agrees to the terms and conditions of the protective agreement set for above in Section 5333 (B) of Title 49 U.S. Code.

LCPRT will post, in a prominent and accessible place the terms and conditions of the Warranty for Application to the Small Urban and Rural Program with notice stating that LCPRT has received federal assistance under the Federal Transit statute and has agreed to comply with these terms.

ACCEPTANCE OF SPECIAL 5333(B) OF TITLE 49,  
UNITED STATES CODE WARRANTY FOR APPLICATION  
TO THE SMALL URBAN AND RURAL PROGRAM

Name of Subrecipient: Lancaster County (Nebraska) Board of Commissioners  
Address: 555 S. 10<sup>th</sup> Suite 110  
Lincoln, NE  
68508  
Telephone: 402-441-7447

The recipient, for and in consideration of a transportation grant to be made available to the recipient, pursuant to Section 5311 of Title 49, United States Code, hereby agrees to accept the terms and conditions of the Special 5333 (b) Warranty, incorporated herein and made a part hereof by reference, absent a waiver by the U.S. Department of Labor.

The recipient hereby agrees that it is the exclusive designated legally responsible party under the terms of the Special Section 5333 (b) Warranty and that the State of Nebraska, acting through the Nebraska Department of Roads assumes no obligation under the terms of the Special Warranty which are not otherwise part of its normal obligation as a grant administering agency.

The recipient hereby authorizes the presentation of this acceptance by the state of Nebraska to the U.S. Department of Labor as evidence of the Recipient's commitments above described.

Jennifer Brinkman  
Subrecipient

Signature

Chairperson of Lancaster County Board of Commissioners  
Title

2/26/19  
Date

**FTA FISCAL YEAR 2019-2020 CERTIFICATES AND ASSURANCES**

**FEDERAL FISCAL YEAR 2019-2020 CERTIFICATIONS AND ASSURANCES FOR FEDERAL TRANSIT  
ADMINISTRATION ASSISTANCE PROGRAMS**

*(Signature pages alternative to providing Certifications and Assurances in TrAMS)*

Name of Applicant:

The Applicant agrees to comply with applicable provisions of Categories 01 – 21.

**OR**

The Applicant agrees to comply with applicable provisions of the Categories it has selected:

<u>Category</u>	<u>Description</u>	
01.	Required Certifications and Assurances for Each Applicant.	<input checked="" type="checkbox"/>
02.	Lobbying.	<input checked="" type="checkbox"/>
03.	Private Sector Protections.	<input checked="" type="checkbox"/>
04.	Rolling Stock Reviews and Bus Testing.	<input checked="" type="checkbox"/>
05.	Demand Responsive Service.	<input checked="" type="checkbox"/>
06.	Intelligent Transportation Systems.	<input checked="" type="checkbox"/>
07.	Interest and Financing Costs and Acquisitions of Capital Assets by Lease.	<input type="checkbox"/>
08.	Transit Asset Management Plan, Public Transportation Safety Program, and State Safety Oversight Requirements.	<input checked="" type="checkbox"/>
09.	Alcohol and Controlled Substances Testing.	<input checked="" type="checkbox"/>
10.	Fixed Guideway Capital Investment Grans Program (New Starts, Small Starts, and Core Capacity Improvement.)	<input type="checkbox"/>
11.	State of Good Repair Program.	<input checked="" type="checkbox"/>
12.	Grants for Bus and Bus Facilities and Low or No Emission Vehicle Deployment Grant Programs.	<input checked="" type="checkbox"/>
13.	Urbanized Area Formula Grants Programs and Passenger Ferry Grant Program.	<input type="checkbox"/>
14.	Enhanced Mobility of Seniors and Individuals with Disabilities Programs.	<input type="checkbox"/>
15.	Rural Areas and Appalachian Development Programs.	<input checked="" type="checkbox"/>
16.	Tribal Transit Programs (Public Transportation on Indian Reservations Programs.)	<input checked="" type="checkbox"/>
17.	State Safety Oversight Program.	<input checked="" type="checkbox"/>
18.	Public Transportation Emergency Relief Program.	<input checked="" type="checkbox"/>
19.	Expedited Project Delivery Pilot Program.	<input type="checkbox"/>
20.	Infrastructure Finance Programs.	<input type="checkbox"/>
21.	Construction Hiring Preferences.	<input checked="" type="checkbox"/>

**FTA FISCAL YEAR 2018 CERTIFICATIONS AND ASSURANCES**

**FEDERAL FISCAL YEAR 2018 FTA CERTIFICATIONS AND ASSURANCES SIGNATURE PAGE**

*(Required of all Applicants for federal assistance to be awarded by FTA in FY 2018)*

**AFFIRMATION OF APPLICANT**

Name of the Applicant: Lancaster County Public Rural Transit

Name and Relationship of the Authorized Representative: Jennifer Brinkman, Chairperson of Lancaster County Board of Commissioners.

BY SIGNING BELOW, on behalf of the Applicant, I declare that it has duly authorized me to make these Certifications and Assurances and bind the its compliance. Thus, it agrees to comply with all federal laws, regulations, and requirements, follow applicable federal guidance, and comply with the Certifications and Assurances as indicated on the foregoing page applicable to each application its Authorized Representative makes to the Federal Transit Administration (FTA) in federal fiscal year 2018, irrespective of whether the individual that acted on his or her Applicant's behalf continues to represent it.

FTA intends that the Certifications and Assurances the Applicant selects on the other side of this document should apply to each Award for which it now seeks, or may later seek federal assistance to be awarded during federal fiscal year 2018.

The Applicant affirms the truthfulness and accuracy of the Certifications and Assurances it has selected in the statements submitted with this document and any other submission made to FTA, and acknowledges that the Program Fraud Civil Remedies Act of 1986, 31 U.S.C. § 3801 *et seq.*, and implementing U.S. DOT regulations, "Program Fraud Civil Remedies," 49 CFR part 31, apply to any certification, assurance or submission made to FTA. The criminal provisions of 18 U.S.C. § 1001 apply to any certification, assurance, or submission made in connection with a federal public transportation program authorized by 49 U.S.C. chapter 53 or any other statute.

In signing this document, I declare under penalties of perjury that the foregoing Certifications and Assurances, and any other statements made by me on behalf of the Applicant are true and accurate.

\_\_\_\_\_  
Signature 2-26-19  
Date

Jennifer Brinkman: Chair person of Lancaster County Board of Commissioners  
Authorized Representative of Applicant

**AFFIRMATION OF APPLICANT'S ATTORNEY**

For (Name of Applicant): \_\_\_\_\_

As the undersigned Attorney for the above-named Applicant, I hereby affirm to the Applicant that it has authority under state, local, or tribal government law, as applicable, to make and comply with the Certifications and Assurances as indicated on the foregoing pages. I further affirm that, in my opinion, the Certifications and Assurances have been legally made and constitute legal and binding obligations on it.

I further affirm that, to the best of my knowledge, there is no legislation or litigation pending or imminent that might adversely affect the validity of these Certifications and Assurances or of the performance of its FTA assisted Award.

\_\_\_\_\_  
Signature Date

\_\_\_\_\_  
Attorney for Applicant

*Each Applicant for federal assistance to be awarded by FTA must provide an Affirmation of Applicant's Attorney pertaining to the Applicant's legal capacity. The Applicant may enter its electronic signature in lieu of the Attorney's signature within TrAMS, provided the Applicant has on file and uploaded to TrAMS this hard-copy Affirmation, signed by the attorney and dated this federal fiscal year.*

## TRANSIT ASSET MANAGEMENT CERTIFICATION

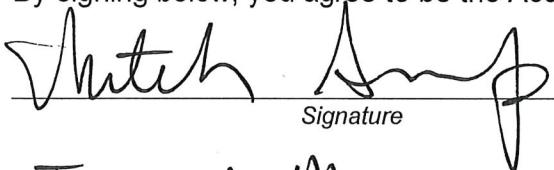
The Federal Transit Administration (FTA) implemented a Transit Asset Management (TAM) program under CFR Title 49, Part 625. The TAM program provides a framework that prioritizes funding based on the condition and maintenance of transit assets, such as vehicles, equipment and facilities. The purpose of the FTA rulemaking is to achieve and maintain a state of good repair for transportation assets.

The TAM rule requires every transit provider that receives federal financial assistance under 49 U.S.C. Chapter 53 to develop a TAM plan or participate in a group TAM plan prepared by a sponsor. The Nebraska Department of Transportation (NDOT) is the sponsor of the group plan for rural public transportation providers receiving Section 5311 funds.

As a subrecipient of these funds, you are required to adopt the NDOT Transit TAM Plan or decline to participate in the group plan. Your agency is also required to name an Accountable Executive who is responsible for approving the group TAM plan. This individual serves as the participant agency's chief executive and should be involved in decision-making regarding investment prioritization.

NDOT's Group TAM Plan is available for review at [https://s3.amazonaws.com/documentstndot/NDOR\\_Documents/General\\_Transit\\_Documents/NDOT+Transit+Asset+Management+Group+Plan.pdf](https://s3.amazonaws.com/documentstndot/NDOR_Documents/General_Transit_Documents/NDOT+Transit+Asset+Management+Group+Plan.pdf)

By signing below, you agree to be the Accountable Executive for your agency:

 \_\_\_\_\_ Mitch Sump \_\_\_\_\_  
Signature Print Name  
Transit Manager LC.P.R.T \_\_\_\_\_ 2-26-19 \_\_\_\_\_  
Title Date

If you decline to participate in the group TAM plan, you are required to develop a plan for your agency in compliance with federal regulations and report TAM information annually to the National Transit Database. Please notify your intention to decline participation in NDOT's group TAM plan by written notification to the address below:

Kari Ruse  
NDOT Transit Manager  
PO Box 94759  
Lincoln NE 68509-4759  
[kari.ruse@nebraska.gov](mailto:kari.ruse@nebraska.gov)  
(402) 479-4694