

NEBRASKA

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DEPARTMENT OF TRANSPORTATION

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LANCASTER COUNTY
CLERK



Pete Ricketts, Governor

July 11, 2017

Dan Nolte
Lancaster County Clerk
555 S 10th St
Lincoln, NE 68508

March 2017, **Lancaster County** received the allocation of STP and HBP Buyout Funds that resulted from the Federal Funds Purchase Program Agreement with NDOT. At this time, we are requesting the annual certification for those funds from the time period of **July 1, 2016 to June 30, 2017**.

Enclosed is **Lancaster County's** Certification form to be filled out and returned to NDOT by **September 30, 2017**. Once the form has been completed, please e-mail the form back to me at Jodi.gibson@nebraska.gov or send the hard copy back to me at:

Jodi Gibson
Materials and Research Division
1400 Hwy. 2
Lincoln, Nebraska 68502

If you have any questions or need assistance filling out the form, please contact myself at 402-479-4337.

Jodi Gibson
Local Program Administrator
Nebraska Department of Transportation
Materials and Research
✉ Email: jodi.gibson@nebraska.gov
☎ Office: 402-479-4337

Annual Certification Form

Lancaster County, hereinafter "Local Public Agency" or "LPA"

Period of Time Covered by Certification
July 1, 2016 to June 30, 2017

This certification is given by LPA pursuant to the requirement of the second paragraph of Neb. Rev. Stat. §39-1307 (as this section was amended by Section 1 of LB98, 2011 Legislative Session), as required by Section 5 of the Federal-Aid Transportation Fund Purchase-Sale Agreement (the Agreement) between the State of Nebraska Department of Roads (State) and LPA.

LPA has received cash payment funds from the State according to the terms of the Agreement. LPA agreed in Section 5 of the Agreement to restrict LPA's use of the cash payment funds to certain specified uses. (See the language of Section 5 set out below). Section 6 of the Agreement requires LPA to make this certification annually. Further, the financial information on this form must also be reported in your annual reports to the Board of Public Roads Classifications and Standards.

The undersigned hereby certifies that he or she:

- (1) Has been properly authorized by LPA's governing body to make this certification on behalf of the LPA.
- (2) Has sufficient information to accurately, fully and fairly make this certification.
- (3) Is familiar with the terms of the Agreement between the LPA and the State, including particularly, the limitations on LPA's use of the cash payment funds set out in Section 5 of the Agreement.
- (4) Is familiar with LPA's accounting systems and bank and investment accounts and knows (a) where the cash payment funds have been deposited or invested and (b) how the cash payment funds have been managed and accounted for within LPA's financial records.
- (5) Is familiar with the details of all projects or activities of LPA for which funds in the cash payment account have been used by LPA.
- (6) Believes, that to the best of his or her knowledge and belief, (1) LPA's use of the cash payment funds fully and fairly complies with the requirements of Section 5 of the Agreement, and (2) LPA has segregated the cash payment it received from the State and has separately accounted for any STP and HBP portions of the cash payment within its accounting system.

Date

Signature

Printed Name

Title

Fund Type	FY-2016 Carryover <small>(funding amount reported last certification period as unexpended)</small>	FY-2017 Allotment	Total Available Allotment <small>(combination of FY-2016 carryover and new FY-2017 funds)</small>	Allocation Expended <small>(7/1/16 and 6/30/2017)</small>	Remaining Allocation
34750 Highway Street Buyback Program (STP)	\$759,102.49	\$286,463.32	\$1,045,565.81		
34760 Highway Bridge Buyback Program (HBP)	\$243,906.83	\$91,424.93	\$335,331.76		
Project Location and Description <small>(Please provide accurate locations and an explanation of work completed with FFPP Funds)</small>					
Location	Project Description/Work Completed				

**If additional space is needed please, please feel free to attach additional pages

SECTION 5. Limitations on LPA's Use of Cash Payment. The portion of the cash payment received by LPA from the State for the purchase of **STP funds** shall be used solely for the cost of construction, reconstruction, maintenance, or repair of public highways, streets, roads, or bridges and facilities, appurtenances, and roadway structures deemed necessary in connection therewith. The portion of the cash payment received by LPA from the State for the purchase of **HBP funds** shall be used solely for the construction, reconstruction, improvement, repair or maintenance of LPA public road bridges. The LPA's use of the cash payment for "maintenance," under the prior two sentences, shall be limited to maintenance projects that preserve, restore or correct major roadway or bridge conditions and the cash payment will not be used for LPA's routine maintenance activities.

The phrase "facilities, appurtenances, and roadway structures deemed necessary in connection therewith," as used in the first sentence of this section, includes medians; accessory lanes; steps; handrails; sidewalks, adjoining trails, paths and related structures; drainage facilities such as storm sewers, curb or grate inlets, culverts, ditches, and other drainage structures; guardrails; lighting facilities; driveways; retaining walls and other similar facilities that are necessary or desirable and directly related to the proper design of streets, roads and highways.

LPA shall segregate the cash payment and shall separately account for the STP and HBP portions of any cash payment received from the State within its accounting system. The LPA may accumulate and invest the STP or HBP portions of the cash payment it receives so long as the earnings from such investments are used for the applicable purposes provided in this section. The cash payment funds may be used for any phase of an allowable project. The phases of an allowable project include, but are not limited to: 1) preliminary engineering, 2) right-of-way acquisition, 3) utility relocations, 4) construction, and 5) construction engineering.

All roads and bridges shall be designed and constructed to meet the minimum standards of the Nebraska Board of Public Roads Classifications and Standards.