January 26, 2018

Mayor Beutler and City Council
City of Lincoln
City County Building
Lincoln, NE

Mayor Beutler and Members of the City Council:

An investigation has been made regarding the application of Hunky Dory, LLC, dba The Royal Grove, 340 W. Cornhusker Hwy, requesting a Class C- 122224 liquor license. Eli Mardock is requesting to be approved as the manager of the liquor license.

This building has in the past held a Class C (beer, wine & distilled spirits, on & off sale) liquor license. The use was considered nonconforming at the time of its use. This building has been unoccupied for more than two years and would require a special permit to establish alcohol sales. Based upon a Class C license, both on and off-sale permits would be required. The north parking lot is zoned residential. The lot would need to be rezoned to be used commercially. The applicant may have difficulties meeting the requirements. Please refer to Planning staff for details.

Due to these issues this license application should not be approved.

Mr. Eli Mardock completed the required alcohol management training on May 12, 2016.

Mr. Luther Mardock is the sole 100% shareholder of Hunky Dory LLC.

Luther Mardock’s driver & criminal history is as follows:

There were no criminal convictions.

<table>
<thead>
<tr>
<th>Date</th>
<th>Description</th>
<th>Type</th>
</tr>
</thead>
<tbody>
<tr>
<td>12-22-1997</td>
<td>Speeding 16-20over / No Seat Belt</td>
<td>Infraction</td>
</tr>
<tr>
<td></td>
<td>Douglas Co/NSP</td>
<td></td>
</tr>
<tr>
<td>02-13-2003</td>
<td>Unlawful U-Turn</td>
<td>Infraction</td>
</tr>
<tr>
<td></td>
<td>Lancaster Co/LPD</td>
<td></td>
</tr>
<tr>
<td>12-21-2005</td>
<td>No Valid Registration</td>
<td>Infraction</td>
</tr>
<tr>
<td></td>
<td>Douglas Co/NSP</td>
<td></td>
</tr>
<tr>
<td>10-14-2010</td>
<td>Speeding 6-10over</td>
<td>Infraction</td>
</tr>
<tr>
<td></td>
<td>Howard Co SO</td>
<td></td>
</tr>
</tbody>
</table>

Eli Mardock’s driver & criminal history is as follows:
There were no criminal convictions.

09-30-1997  Fail To Yield ROW
Dodge Co  Infraction
11-14-1997  Drive Left Of Center
Dodge Co  Infraction

12-16-1997  Violate Traffic Signal
Dodge Co  Infraction
08-08-2003  Operate Unregistered Vehicle
Sarpy Co/BPD  Infraction
07-09-2008  Follow Too Closely
Douglas Co/OPD  Infraction
09-10-2010  Speeding 11-15over/Unregistered Vehicle
Sarpy Co/NSP  Infraction
03-13-2012  Speeding 11-15over
Lancaster Co/LPD  Infraction
05-02-2016  Fictitious Plates/No License on Person
Lancaster Co/LPD  Infraction
09-10-2010  Speeding 11-15over
Otoe Co/NSP  Infraction

The application is included for your review.

If this application is approved, it should be with the understanding that it conforms to all the rules and regulations of Lincoln, Lancaster County and the State of Nebraska.

JEFFREY J. BLIEMEISTER, Chief of Police
APPLICANT FOR LIQUOR LICENSE
CHECKLIST - RETAIL

NEBRASKA LIQUOR CONTROL COMMISSION
301 CENTENNIAL MALL SOUTH
PO BOX 95046
LINCOLN, NE 68509-5046
PHONE: (402) 471-2571
FAX: (402) 471-2814
Website: www.lcc.nebraska.gov

RECEIVED

DEC 27 2017

NEBRASKA LIQUOR
CONTROL COMMISSION

Hot List: YES/NO
New/Replacing #
Class Type C

122224
Initial 

Applicant name: Hunky Dory, LLC

Trade name: The Royal Grove ➔ Royal Grove, The

Previous trade name

Contact email address: carriemarodeck@gmail.com / info@astracurcunts.com

Provide all the items requested. Failure to provide any item will cause this application to be returned or placed on hold. All documents must be legible. Any false statement or omission may result in the denial, suspension, cancellation or revocation of your license. If your operation depends on receiving a liquor license, the Nebraska Liquor Control Commission cautions you that if you purchase, remodel, start construction, spend or commit money that you do so at your own risk. Prior to submitting your application review the application carefully to ensure that all sections are complete, and that any omissions or errors have not been made. You may want to check with the city/village or county clerk, where you are making application, to see if any additional requirements must be met before submitting application to the Nebraska Liquor Control Commission.

Lease
Business Plan
BC passport, rotecres
LW & Diagram
lit. A

Needs Training - Luther

receipt # 169397

Office use only
PAYMENT TYPE: Check # 1055
AMOUNT: $400
Received: 1/8

1800000123
1. ☑ Fingerprint are required for each person as defined in new application guide, found on our website under “Licensing Tab” in “Guidelines/Brochures”. See Form 147 for further information, this form MUST be included with your application.

2. ☑ Enclose application fee of $400 (nonrefundable), check made payable to the Nebraska Liquor Control Commission or you may pay online at PAYPORT.

3. ☑ Enclose the appropriate application forms;
   Individual License (requires insert form 1)
   Partnership License (requires insert form 2)
   Corporate License (requires insert form 3a & 3c)
   Limited Liability Company (LLC) (requires form 3b & 3c)

4. ☑ If building is being leased send a copy of signed lease. Be sure the lease reads in the name of the individual(s), corporation or Limited Liability Company (LLC) making application. Lease term must run through the license year being applied for.

5. ☑ If building is owned or being purchased send a copy of the deed or purchase agreement in the name of the applicant.

6. ☑ If buying the business of a current liquor license holder:
   a. Provide a copy of the purchase agreement from the seller (must read applicants name)
   b. Provide a copy of alcohol inventory being purchased (must include brand names and container size)
   c. Enclose a list of the assets being purchased (furniture, fixtures and equipment)

7. ☑ If requesting to operate on current liquor license; enclose Temporary Operating Permit (TOP) (Form 125).

8. ☑ Enclose a list of any inventory or property owned by other parties that are on the premises.

9. ☑ For citizenship enclose U.S. birth certificate; U.S. passport or naturalization paper.
   a. For residency enclose proof of registered voter in Nebraska
   b. If permanent resident include Employment Authorization Card or Permanent Resident Card
   c. See guideline for further assistance

10. ☑ Corporation or Limited Liability Company (LLC) must enclose a copy of articles of incorporation; as filed with the Secretary of State’s Office.

11. ☑ Submit a copy of your business plan.

I acknowledge that this application is not a guarantee that a liquor license will be issued to me, and that the average processing period is 60 days. Furthermore, I understand that all the information is truthful and I accept all responsibility for any false documents.

Signature

12/24/17

Date
APPLICATION FOR LIQUOR LICENSE
RETAIL
NEBRASKA LIQUOR CONTROL COMMISSION
301 CENTENNIAL MALL SOUTH
PO BOX 95046
LINCOLN, NE 68509-5046
PHONE: (402) 471-2571
FAX: (402) 471-2814
Website: www.lcc.nebraska.gov/

Class C, LLC

CLASS OF LICENSE FOR WHICH APPLICATION IS MADE AND FEES
CHECK DESIRED CLASS

<table>
<thead>
<tr>
<th>RETAIL LICENSE(S)</th>
<th>Application Fee $400 (nonrefundable)</th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td>BEER, ON SALE ONLY</td>
</tr>
<tr>
<td>B</td>
<td>BEER, OFF SALE ONLY</td>
</tr>
<tr>
<td>X</td>
<td>BEER, WINE, DISTILLED SPIRITS, ON AND OFF SALE</td>
</tr>
<tr>
<td>D</td>
<td>BEER, WINE, DISTILLED SPIRITS, OFF SALE ONLY</td>
</tr>
<tr>
<td>I</td>
<td>BEER, WINE, DISTILLED SPIRITS, ON SALE ONLY</td>
</tr>
<tr>
<td>J</td>
<td>LIMITED ALCOHOLIC LIQUOR, OFF SALE – MUST INCLUDE SUPPLEMENTAL FORM 120</td>
</tr>
<tr>
<td>AB</td>
<td>BEER, ON AND OFF SALE</td>
</tr>
<tr>
<td>AD</td>
<td>BEER ON SALE ONLY, BEER, WINE, DISTILLED SPIRITS OFF SALE</td>
</tr>
<tr>
<td>IB</td>
<td>BEER, WINE, DISTILLED SPIRITS ON SALE, BEER OFF SALE ONLY</td>
</tr>
</tbody>
</table>

Class K Catering license (requires catering application form 106) $100.00

Additional fees will be assessed at city/village or county level when license is issued

Class C license term runs from November 1 – October 31
All other licenses run from May 1 – April 30
Catering license (K) expires same as underlying retail license

CHECK TYPE OF LICENSE FOR WHICH YOU ARE APPLYING

| Individual License (requires insert 1 FORM 104) |
| Partnership License (requires insert 2 FORM 105) |
| Corporate License (requires insert 3a FORM 101 & 3c FORM 103) |
| X Limited Liability Company (LLC) (requires form 3b FORM 102 & 3c FORM 103) |

NAME OF ATTORNEY OR FIRM ASSISTING WITH APPLICATION (if applicable)
Commission will call this person with any questions we may have on this application

Name________________________________ Phone number: _______________________

Firm Name______________________________________________
PREMISES INFORMATION
Trade Name (doing business as) The Royal Grove
Royal Grove, The
Street Address #1 340 W. Cornhusker Hwy
Street Address #2
City Lincoln County Lancaster 02 Zip Code 68521
Premises Telephone number 402-417-1173
Business e-mail address info@astraccoffee.com
Is this location inside the city/village corporate limits: YES NO
Mailing address (where you want to receive mail from the Commission)
Name Hunky Don, LLC
Street Address #1 1521 Sunset Rd.
Street Address #2
City Lincoln State NE Zip Code 68506

DESCRIPTION AND DIAGRAM OF THE STRUCTURE TO BE LICENSED
READ CAREFULLY

In the space provided or on an attachment draw the area to be licensed. This should include storage areas, basement, outdoor area, sales areas and areas where consumption or sales of alcohol will take place. If only a portion of the building is to be covered by the license, you must still include dimensions (length x width) of the licensed area as well as the dimensions of the entire building. No blue prints please. Be sure to indicate the direction north and number of floors of the building.

**For on premises consumption liquor licenses minimum standards must be met by providing at least two restrooms

Building: length 101' x width 128' x 101' Is there a basement? Yes No If yes, length x width in feet
Is there an outdoor area? Yes No If yes, length x width in feet

13238 sf 3535 sf
2nd level 52' 2nd level 52'
1st level 101' 1st level 101'
3v4' 19' 85'
128' x 101' including Second floor approx 85' x 61' and outdoor area, approx 101' x 48'

Provide diagram of area to be licensed below or attach separate sheet

FOREM 100
REV FEB 2017
PAGE 4
APPLICANT INFORMATION

1. READ CAREFULLY. ANSWER COMPLETELY AND ACCURATELY §53-125(5)
   Has anyone who is a party to this application, or their spouse, EVER been convicted of or plead guilty to any charge. Charge means any charge alleging a felony, misdemeanor, violation of a federal or state law; a violation of a local law, ordinance or resolution. List the nature of the charge, where the charge occurred and the year and month of the conviction or plea. Also list any charges pending at the time of this application. If more than one party, please list charges by each individual’s name. Include traffic violations. Commission must be notified of any arrests and/or convictions that may occur after the date of signing this application.
   
   X YES  NO
   If yes, please explain below or attach a separate page

<table>
<thead>
<tr>
<th>Name of Applicant</th>
<th>Date of Conviction (mm/yyy)</th>
<th>Where Convicted (city &amp; state)</th>
<th>Description of Charge</th>
<th>Disposition</th>
</tr>
</thead>
<tbody>
<tr>
<td>Luther Mardock</td>
<td>12/2005</td>
<td>Omaha, NE</td>
<td>Speeding, no license</td>
<td>Fine $25</td>
</tr>
<tr>
<td>Luther Mardock</td>
<td>12/1997</td>
<td>Omaha, NE</td>
<td>Speeding, no</td>
<td>Fine $25</td>
</tr>
<tr>
<td>Luther Mardock</td>
<td>3/2003</td>
<td>Lincoln, NE</td>
<td>Unlawful u-turn</td>
<td>Fine</td>
</tr>
<tr>
<td>Luther Mardock</td>
<td>10/2010</td>
<td>Howard County, NE</td>
<td>Speeding</td>
<td>Fine</td>
</tr>
<tr>
<td>Carrie Mardock</td>
<td>10/1997</td>
<td>Lincoln, NE</td>
<td>Speeding</td>
<td>Fine / stop class</td>
</tr>
</tbody>
</table>

2. Are you buying the business of a current retail liquor license?
   
   X YES   NO

   If yes, give name of business and liquor license number ________________________________
   a) Submit a copy of the sales agreement
   b) Include a list of alcohol being purchased, list the name brand, container size and how many
   c) Submit a list of the furniture, fixtures and equipment

3. Was this premise licensed as liquor licensed business within the last two (2) years?
   
   X YES   NO

   If yes, give name and license number C-087862 → closed 2/26/2014

4. Are you filing a temporary operating permit (TOP) to operate during the application process?
   
   X YES   NO

   If yes:
   a) Attach temporary operating permit (TOP) (Form 125)
   b) TOP will only be accepted at a location that currently holds a valid liquor license.
5. Are you borrowing any money from any source, include family or friends, to establish and/or operate the business?

   X   YES    NO
   If yes, list the lender(s)  Eli Murdock  husband, Luther, brother

6. Will any person or entity, other than applicant, be entitled to a share of the profits of this business?

   X   YES    NO
   If yes, explain. (all involved persons must be disclosed on application)
   Eli Murdock, Manager

   No silent partners

7. Will any of the furniture, fixtures and equipment to be used in this business be owned by others?

   X   YES    NO  See lease attachment
   If yes, list such item(s) and the owner.

8. Is premises to be licensed within 150 feet of a church, school, hospital, home for the aged or indigent persons or for veterans, their wives, and children, or within 300 feet of a college or university campus?

   NO    X   YES
   If yes, provide name and address of such institution and where it is located in relation to the premises (Neb. Rev. Stat. 53-172)(L)
   Provide letter of support or opposition, see FORM 134 - church or FORM 135 - campus

9. Is anyone listed on this application a law enforcement officer?

   X   YES    NO
   If yes, list the person, the law enforcement agency involved and the person’s exact duties.

10. List the primary bank and/or financial institution (branch if applicable) to be utilized by the business.
    a) List the individual(s) who will be authorized to write checks and/or withdrawals on accounts at this institution.

   X   Luther Murdock
   Bank of the West

11. List all past and present liquor licenses held in Nebraska or any other state by any person named in this application. Include license holder name, location of license and license number. Also list reason for termination of any license(s) previously held.

   Asta Vega, LLC: Vega, 250 Canopy Street Suite 220, Lincoln 104029
   Backstage Bar, LLC: Dinty Moore’s Lunch Room, 108 S. 8th Street, Nebraska City 121842

   Per email: Luther has never held a liquor license.
12. List the alcohol related training and/or experience (when and where) of the person(s) making application. Those persons required are listed as followed:
   - Individual: Applicant and spouse; spouse is exempt if they filed Form 116 – Affidavit of Non-Participation.
   - Partnership: All partners and spouses, spouses are exempt if they filed Form 116 – Affidavit of Non-Participation.
   - Limited Liability Company: All member of LLC, Manager and all spouses; spouses are exempt if they filed Form 116 – Affidavit of Non-Participation.
   - Corporation: President, Stockholders holding 25% or more of shares, Manager and all spouses; spouses are exempt if they filed Form 116 – Affidavit of Non-Participation.

**Per email Luther has no training**

<table>
<thead>
<tr>
<th>Applicant Name</th>
<th>Date (mm/yyyy)</th>
<th>Name of program (attach copy of course completion certificate)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Eli Marrock</td>
<td>05/2018</td>
<td>RHC Alcohol Management Training</td>
</tr>
</tbody>
</table>

**List of NLCC certified training programs**

<table>
<thead>
<tr>
<th>Applicant Name/Job Title</th>
<th>Date of Employment</th>
<th>Name &amp; Location of Business</th>
</tr>
</thead>
<tbody>
<tr>
<td>Eli Marrock, Manager</td>
<td>11/2013, 9-30am</td>
<td>Vegas, 350 Canyon Street</td>
</tr>
</tbody>
</table>

13. If the property for which this license is sought is owned, submit a copy of the deed, or proof of ownership. If leased, submit a copy of the lease covering the entire license year. **Documents must show title or lease held in name of applicant as owner or lessee in the individual(s) or corporate name for which the application is being filed.**

- **Lease:** expiration date 1/1/2017 – 12/31/2019

- **Deed**

- **Purchase Agreement**

14. When do you intend to open for business? 2/20/2018

15. What will be the main nature of business? Bar, Music Venue

16. What are the anticipated hours of operation? Sun – 2 AM

17. List the principal residence(s) for the past 10 years for all persons required to sign, including spouses.

<table>
<thead>
<tr>
<th>RESIDENCES FOR THE PAST 10 YEARS, APPLICANT AND SPOUSE MUST COMPLETE</th>
</tr>
</thead>
<tbody>
<tr>
<td>APPLICANT: CITY &amp; STATE</td>
</tr>
<tr>
<td>Luther Marrock, Omaha, NE</td>
</tr>
</tbody>
</table>

If necessary attach a separate sheet.
The undersigned applicant(s) hereby consent(s) to an investigation of his/her background and release present and future records of every kind and description including police records, tax records (State and Federal), and bank or lending institution records, and said applicant(s) and spouse(s) waive(s) any right or causes of action that said applicant(s) or spouse(s) may have against the Nebraska Liquor Control Commission, the Nebraska State Patrol, and any other individual disclosing or releasing said information. Any documents or records for the proposed business or for any partner or stockholder that are needed in furtherance of the application investigation of any other investigation shall be supplied immediately upon demand to the Nebraska Liquor Control Commission or the Nebraska State Patrol. The undersigned understand and acknowledge that any license issued, based on the information submitted in this application, is subject to cancellation if the information contained herein is incomplete, inaccurate or fraudulent.

Individual applicants agree to supervise in person the management and operation of the business and that they will operate the business authorized by the license for themselves and not as an agent for any other person or entity. Corporate applicants agree the approved manager will superintend in person the management and operation of the business. Partnership applicants agree one partner shall superintend the management and operation of the business. All applicants agree to operate the licensed business within all applicable laws, rules, regulations, and ordinances and to cooperate fully with any authorized agent of the Nebraska Liquor Control Commission.

Applicant Notification and Record Challenge: Your fingerprints will be used to check the criminal history records of the FBI. You have the opportunity to complete or challenge the accuracy of the information contained in FBI identification record. The procedures for obtaining a change, correction, or updating an FBI identification record are set forth in Title 28, CFR, 16.34.

Must be signed in the presence of a notary public by applicant(s) and spouse(s). See guideline for required signatures

* SPOUSAL SUBMITTED FOR ERJKA

Signature of Applicant

Luther Mardock

Print Name

Signature of Spouse

Print Name

Signature of Applicant

Print Name

Signature of Spouse

Print Name

ACKNOWLEDGEMENT

State of Nebraska
County of Lancaster

12/22/17 date

The foregoing instrument was acknowledged before me this

by Luther Mardock name of person(s) acknowledged (individual(s) signing)

Notary Public signature

KAYLA M HELGOTH
General Notary - State of Nebraska
My Commission Expires Jun 3, 2020

In compliance with the ADA, this application is available in other formats for persons with disabilities.
A ten day advance period is required in writing to produce the alternate format.
APPLICATION FOR LIQUOR LICENSE
LIMITED LIABILITY COMPANY (LLC)
INSERT - FORM 3b

NEBRASKA LIQUOR CONTROL COMMISSION
301 CENTENNIAL MALL SOUTH
PO BOX 95046
LINCOLN, NE 68509-5046
PHONE: (402) 471-2571
FAX: (402) 471-2814
Website: www.lcc.nebraska.gov

All members including spouse(s), are required to adhere to the following requirements:
1) All members spouse(s) must be listed
2) Managing/Contact member and all members holding over 25% interest and their spouse(s) (if applicable) must submit fingerprints. See Form 147 for further information, this form MUST be included with your application.
3) Managing/Contact member and all members holding over 25% shares of stock and their spouse (if applicable) must sign the signature page of the Application for License form 100 (even if a spousal affidavit has been submitted)

Attach copy of Articles of Organization (must show electronic stamp or barcode receipt by Secretary of States office)

Name of Registered Agent: John L. Spry 10252326 Spry, JL

Name of Limited Liability Company that will hold license as listed on the Articles of Organization

Hunky Dog LLC

LLC Address: 1521 Sunset Rd.

City: Lincoln State: NE Zip Code: 68504

LLC Phone Number: 402-617-1173 LLC Fax Number

Name of Managing/Contact Member
Name and information of contact member must be listed on following page

Last Name: Nardoek First Name: Luther MI: M

Home Address: 4823 Nayberry Street City: Omaha

State: NE Zip Code: 68105 Home Phone Number: 402-918-8256

Signature of Managing/Contact Member

ACKNOWLEDGEMENT

State of Nebraska
County of Lancaster

The foregoing instrument was acknowledged before me this

12/22/17 by Luther Nardoek

name of person acknowledge

Date

Affix Seal

KAYLA M HELGOTH
General Notary - State of Nebraska
My Commission Expires Jun 3, 2020
List names of all members and their spouses (even if a spousal affidavit has been submitted)

Last Name: Merdocks First Name: Luther MI: M
Social Security Number: Date of Birth: ____________
Spouse Full Name (indicate N/A if single): Enika Merdocks
Spouse Social Security Number: Date of Birth: ____________
Percentage of member ownership 100%______________

Last Name: ________________ First Name: ________________ MI: ______
Social Security Number: __________________ Date of Birth: ________________
Spouse Full Name (indicate N/A if single): __________________
Spouse Social Security Number: __________________ Date of Birth: ________________
Percentage of member ownership ____________________

Last Name: ________________ First Name: ________________ MI: ______
Social Security Number: __________________ Date of Birth: ________________
Spouse Full Name (indicate N/A if single): __________________
Spouse Social Security Number: __________________ Date of Birth: ________________
Percentage of member ownership ____________________

Last Name: ________________ First Name: ________________ MI: ______
Social Security Number: __________________ Date of Birth: ________________
Spouse Full Name (indicate N/A if single): __________________
Spouse Social Security Number: __________________ Date of Birth: ________________
Percentage of member ownership ____________________
Is the applying Limited Liability Company controlled by another corporation/company?

☐ YES  ☑ NO

If yes, provide the following:
1) Name of corporation

2) Supply an organizational chart of the controlling corporation named above

3) Controlling corporation MUST be registered with the Nebraska Secretary of State, copy of articles must be submitted with application §53-126

☑

Indicate the company's tax year with the IRS (Example January through December)

☑ Starting Date: January 1  Ending Date: December 31

Is this a Non Profit Corporation?

☑ YES  ☑ NO

If yes, provide the Federal ID #.
MANAGER APPLICATION
INSERT - FORM 3c

NEBRASKA LIQUOR CONTROL COMMISSION
301 CENTENNIAL MALL SOUTH
PO BOX 95046
LINCOLN, NE 68509-5046
PHONE: (402) 471-2571
FAX: (402) 471-2814
Website: www.lcc.nebraska.gov

MUST BE:
✓ Citizen of the United States. Include copy of US birth certificate, naturalization paper or current US passport
✓ Nebraska resident. Include copy of voter registration card or print out document from Secretary of State website
✓ Fingerprinted. See form 147 for further information, read form carefully to avoid delays in processing, this form MUST be included with your application
✓ 21 years of age or older

Corporation/LLC information

Name of Corporation/LLC: Hunny Dory, LLC

Premises information

Liquor License Number: __________________________ Class Type: __________________ (if new application leave blank)

Premises Trade Name/DBA: The Royal Grove, The Royal Grove

Premises Street Address: 340 W. Conhyster Hwy
City: Lincoln County: Lancaster Zip Code: 68521

Premises Phone Number: 402-741-1173

Premises Email address: info@astraconcerts.com

The individual whose name is listed as a corporate officer or managing member as reported on insert form 3a or 3b or listed with the Commission. To see authorized officers or members search your license information here:

[Signature]

SIGNATURE REQUIRED BY CORPORATE OFFICER / MANAGING MEMBER
(Faxed signatures are acceptable)

Form 103
Manager's information must be completed below  PLEASE PRINT CLEARLY

Last Name:  Mardock  First Name:  Eli  MI:  T

Home Address:  1521 Sunset Rd.

City:  Lincoln  County:  Lancaster  Zip Code:  68504

Home Phone Number:  402-417-1173

✔  Driver's License Number & State:

Social Security Number:

Date Of Birth:  Place Of Birth:  Omaha, NE

Email address:  elimardock@gmail.com

Are you married? If yes, complete spouse's information (Even if a spousal affidavit has been submitted)

✔  YES  ☐ NO

Spouse's information

Spouses Last Name:  Mardock  First Name:  Carrie  MI:  D

Social Security Number:

✔  Driver's License Number & State:  Nebraska

Date Of Birth:  Place Of Birth:  Hastings, NE

<table>
<thead>
<tr>
<th>CITY &amp; STATE APPLICANT</th>
<th>YEAR FROM</th>
<th>YEAR TO</th>
<th>CITY &amp; STATE SPOUSE</th>
<th>YEAR FROM</th>
<th>YEAR TO</th>
</tr>
</thead>
<tbody>
<tr>
<td>Lincoln, NE</td>
<td>2010</td>
<td>2017</td>
<td>Lincoln, NE</td>
<td>1983</td>
<td>2017</td>
</tr>
<tr>
<td>Seattle, WA</td>
<td>2005</td>
<td>2010</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Boston, MA</td>
<td>2004</td>
<td>2009</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Form 103
### MANAGER'S LAST TWO EMPLOYERS

<table>
<thead>
<tr>
<th>YEAR FROM</th>
<th>TO</th>
<th>NAME OF EMPLOYER</th>
<th>NAME OF SUPERVISOR</th>
<th>TELEPHONE NUMBER</th>
</tr>
</thead>
<tbody>
<tr>
<td>2013</td>
<td>2017</td>
<td>Vega</td>
<td>Self</td>
<td>402-617-1173</td>
</tr>
<tr>
<td>2011</td>
<td>2013</td>
<td>Natural Brokers</td>
<td></td>
<td>402-489-0400</td>
</tr>
</tbody>
</table>

1. **READ CAREFULLY. ANSWER COMPLETELY AND ACCURATELY.**
   Must be completed by both applicant and spouse, unless spouse has filed an affidavit of non-participation.

   Has anyone who is a party to this application, or their spouse, **EVER** been convicted of or plead guilty to any charge. **Charge** means any charge alleging a felony, misdemeanor, violation of a federal or state law; a violation of a local law, ordinance or resolution. List the nature of the charge, where the charge occurred and the year and month of the conviction or plea, include traffic violations. Also list any charges pending at the time of this application. If more than one party, please list charges by each individual’s name. Commission must be notified of any arrests and/or convictions that may occur after the date of signing this application.

   ![X] YES  [NO] NO

   If yes, please explain below or attach a separate page.

<table>
<thead>
<tr>
<th>Name of Applicant</th>
<th>Date of Conviction (mm/yyyy)</th>
<th>Where Convicted (City &amp; State)</th>
<th>Description of Charge</th>
<th>Disposition</th>
</tr>
</thead>
<tbody>
<tr>
<td>Eli Murdock</td>
<td>09/2010</td>
<td>Bellevue, NE</td>
<td>Speeding</td>
<td>Fine</td>
</tr>
<tr>
<td></td>
<td>08/2003</td>
<td>Bellevue, NE</td>
<td>Operate unregistered vehicle</td>
<td>Fine</td>
</tr>
<tr>
<td></td>
<td>12/1997</td>
<td>Fremont, NE</td>
<td>Violate traffic signal</td>
<td>Fine</td>
</tr>
<tr>
<td></td>
<td>11/1997</td>
<td>Fremont, NE</td>
<td>Drive left of center</td>
<td>Fine</td>
</tr>
<tr>
<td></td>
<td>09/1997</td>
<td>Fremont, NE</td>
<td>Failure to yield</td>
<td>Fine</td>
</tr>
<tr>
<td></td>
<td>03/2012</td>
<td>Lincoln, NE</td>
<td>Speeding</td>
<td>Fine</td>
</tr>
<tr>
<td></td>
<td>07/2008</td>
<td>Omaha, NE</td>
<td>Following too closely</td>
<td>Fine</td>
</tr>
</tbody>
</table>

2. **Have you or your spouse ever been approved or made application for a liquor license in Nebraska or any other state?**

   ![X] YES  [NO]

   **IF YES**, list the name of the premise(s):
   
   Vega, Dinty Moore's Lunch Room

3. **Do you, as a manager, qualify under Nebraska Liquor Control Act (§53-131.01) and do you intend to supervise, in person, the management of the business?**

   ![X] YES  [NO]
4. List the alcohol related training and/or experience (when and where) of the person making application.

*NLCC Training Certificate Issued: 05/2010  Name on Certificate: Eli Mardock

<table>
<thead>
<tr>
<th>Applicant Name</th>
<th>Date (mm/yyyy)</th>
<th>Name of program (attach copy of course completion certificate)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Eli Mardock</td>
<td>05/2010</td>
<td>RHIC Alcohol Manager Training</td>
</tr>
</tbody>
</table>

*For list of NLCC Certified Training Programs see training

<table>
<thead>
<tr>
<th>Applicant Name / Job Title</th>
<th>Date of Employment</th>
<th>Name &amp; Location of Business:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Eli Mardock / Manager/Owner</td>
<td>2013 - 2017</td>
<td>Vega, 350 Canopy St Suite 720 Lincoln</td>
</tr>
<tr>
<td>Eli Mardock / Manager/Owner</td>
<td>2017</td>
<td>Dirty Moores, 108 S 8th St, Nebraska City</td>
</tr>
</tbody>
</table>

5. Have you enclosed form 147 regarding fingerprints?

☑ YES  ☐ NO
PERSONAL OATH AND CONSENT OF INVESTIGATION

The above individual(s), being first duly sworn upon oath, deposes and states that the undersigned is the applicant and/or spouse of applicant who makes the above and foregoing application that said application has been read and that the contents thereof and all statements contained therein are true. If any false statement is made in any part of this application, the applicant(s) shall be deemed guilty of perjury and subject to penalties provided by law. (Sec §53-131.01) Nebraska Liquor Control Act.

The undersigned applicant hereby consents to an investigation of his/her background including all records of every kind and description including police records, tax records (State and Federal), and bank or lending institution records, and said applicant and spouse waive any rights or causes of action that said applicant or spouse may have against the Nebraska Liquor Control Commission and any other individual disclosing or releasing said information to the Nebraska Liquor Control Commission. If spouse has NO interest directly or indirectly, a spousal affidavit of non-participation may be attached.

The undersigned understand and acknowledge that any license issued, based on the information submitted in this application, is subject to cancellation if the information contained herein is incomplete, inaccurate, or fraudulent.

Applicant Notification and Record Challenge: Your fingerprints will be used to check the criminal history records of the FBI. You have the opportunity to complete or challenge the accuracy of the information contained in FBI identification record. The procedures for obtaining a change, correction, or updating an FBI identification record are set forth in Title 28, CFR, 16.34.

Signature of Manager Applicant

Signature of Spouse

ACKNOWLEDGEMENT

State of Nebraska
County of LANCASTER

The foregoing instrument was acknowledged before me this

12/22/17

by Eli Mardock

NAME OF PERSON BEING ACKNOWLEDGED

Notary Public signature

Affix Seal

KAYLA M HELGOETH
General Notary - State of Nebraska
My Commission Expires Jun 3, 2020

In compliance with the ADA, this application is available in other formats for persons with disabilities. A ten day advance period is required in writing to produce the alternate format.
SUBMISSION OF FINGERPRINTS /
PAYMENT OF FEES TO NSP-CID

NEBRASKA LIQUOR CONTROL COMMISSION
301 CENTENNIAL MALL SOUTH
PO BOX 95046
LINCOLN, NE 68509-5046
PHONE: (402) 471-2571
FAX: (402) 471-2814
Website: www.lcc.nebraska.gov

Applicant Name: Hunky Dory, LLC
(Corporation, LLC, Partnership or Individual)

Trade Name: The Royal Grove
(Doing Business As)

402-617-1173 info@ashmconcepts.com
Phone Number Contact E-mail Address

DIRECTIONS FOR SUBMITTING FINGERPRINTS AND FEE PAYMENTS:

- FAILURE TO FILE FINGERPRINT CARDS AND PAY THE REQUIRED PROCESSING FEE TO THE NEBRASKA STATE PATROL WILL DELAY THE ISSUANCE OF YOUR LIQUOR LICENSE.

- See Application Requirement Guide for listing of Fingerprint Requirements, found on our website under “Licensing” tab in “Applicant Guidelines”.

- DO NOT send fee payments to the NLCC – fees MUST be paid directly to NSP;

- Fee payment of $45.25 per person MUST be made DIRECTLY to the Nebraska State Patrol;
  It is recommended to make payment through the NSP PayPort online system at www.ne.gov/go/nsp
  Or a check made payable to NSP can be mailed directly to the following address:
  ***Please indicate on your payment who the payment is for (the name of the person being fingerprinted) and the payment is for a Liquor License***
  The Nebraska State Patrol – CID Division
  3800 NW 12th Street
  Lincoln, NE  68521

- Fingerprint cards taken at NSP locations will be forwarded to NSP – CID;
  Applicant(s) will not have cards to include with license application.

- Fingerprint cards taken at local law enforcement offices will be released to the applicants;
  Fingerprint cards should be submitted with the application.

Please complete information on the following pages for EACH person fingerprinted.
1. Name: Luther Mardock Date of Birth: Last 4 SSN: 
Date fingerprints were taken: 12/1/17 Location where fingerprints were taken: LPD
How was payment made to NSP? ☑NSP PAYPORT □CASH □CHECK SENT TO NSP Ck #
My fingerprints are already on file with the commission – fingerprints completed for a previous application less than 2 years ago YES □

☑ 2. Name: Eli Mardock Date of Birth: Last 4 SSN:
Date fingerprints were taken: Location where fingerprints were taken: LPD
How was payment made to NSP? ☑NSP PAYPORT □CASH □CHECK SENT TO NSP Ck #
My fingerprints are already on file with the commission – fingerprints completed for a previous application less than 2 years ago YES ☑ ⇒ 8/19/2016

☑ 3. Name: Carrie Mardock Date of Birth: Last 4 SSN: 
Date fingerprints were taken: Location where fingerprints were taken: LPD
How was payment made to NSP? ☑NSP PAYPORT □CASH □CHECK SENT TO NSP Ck #
My fingerprints are already on file with the commission – fingerprints completed for a previous application less than 2 years ago YES ☑ ⇒ 8/19/2016

4. Name: Date of Birth: Last 4 SSN: 
Date fingerprints were taken: Location where fingerprints were taken:
How was payment made to NSP? □NSP PAYPORT □CASH □CHECK SENT TO NSP Ck #
My fingerprints are already on file with the commission – fingerprints completed for a previous application less than 2 years ago YES □

Applicant Notification and Record Challenge: Your fingerprints will be used to check the criminal history records of the FBI. You have the opportunity to complete or challenge the accuracy of the information contained in FBI identification record. The procedures for obtaining a change, correction, or updating a FBI identification record are set forth in Title 28, CFR, 16.34.

I hereby certify that fees of $45.25 per person have been submitted directly to the Nebraska State Patrol – CID office. The undersigned certifies on behalf of the Corporation, LLC, Partnership or Licensee that it is understood that a misrepresentation of fact is cause for rejection of this application or suspension, cancellation or revocation of any license issued.

Name (Print): Luther Mardock Title: Member

☑ Signature: Date:

FORM 147
REV DEC 2016
PAGE 2
SPOUSAL AFFIDAVIT OF
NON PARTICIPATION INSERT

NEBRASKA LIQUOR CONTROL COMMISSION
301 CENTENNIAL MALL SOUTH
PO BOX 95046
LINCOLN, NE 68509-5046
PHONE: (402) 471-2571
FAX: (402) 471-2814
Website: www.lcc.ne.gov

I acknowledge that I am the spouse of a liquor license holder. My signature below confirms that I will have not have any interest, directly or indirectly in the operation or profit of the business (§53-125(13)) of the Liquor Control Act. I will not tend bar, make sales, serve patrons, stock shelves, write checks, sign invoices or represent myself as the owner or in any way participate in the day to day operations of this business in any capacity. I understand my fingerprint will not be required; however, I am obligated to sign and disclose any information on all applications needed to process this application.

[Signature]
Signature of spouse asking for waiver
(Spouse of individual listed below)

[Nebraska]
State of

[Douglas]
County of

[12/5/17]
date

[Notary Public signature]
Notary Public signature

[Eriska Mardock]
Printed name of spouse asking for waiver

[CRIS STOLL]
name of person acknowledged

[Nebraska]
Affix Seal
State of Nebraska-General Notary
My Commission Expires
May 16, 2021

I acknowledge that I am the spouse of the above listed individual. I understand that my spouse and I are responsible for compliance with the conditions set out above. If it is determined that the above individual has violated (§53-125(13)) the Commission may cancel or revoke the liquor license.

[Signature]
Signature of individual involved with application
(Spouse of individual listed above)

[Nebraska]
State of

[Douglas]
County of

[12/5/17]
date

[Notary Public signature]
Notary Public signature

[CRIS STOLL]
name of person acknowledged

[Nebraska]
Affix Seal
State of Nebraska-General Notary
My Commission Expires
May 18, 2021

In compliance with the ADA, this spousal affidavit of non participation is available in other formats for persons with disabilities. A ten day advance period is requested in writing to produce the alternate format.

FORM 35-4178
Revised 1/2008
LICENSE INFORMATION:

LICENSE NO. 999999

CATEGORY R (R, S, M, D)

RECEIVED FROM:

REFERENCE: ELI MARDOCK / C-122224
CHECK #: 1055 / RCPT #: 169397

CHECK

CASH

CURRENCY

REMITTANCE AMOUNT:

000400 00

ACCT

DEPOSIT (+/-)

---------

63.476100

67.172201

---------

000395 00

000005 00

DEPRESS: ENTER - PROCESS

PA1 - RETURN TO MENU
### Licensee / Trade Name Lookup

#### Lookup By:
1. NAME
2. TRD NM/CIT/ADDR

<table>
<thead>
<tr>
<th>NAME</th>
<th>CLASS</th>
<th>LICENSE NBR</th>
<th>TRADE CITY ADDRESS</th>
</tr>
</thead>
<tbody>
<tr>
<td>MAHER, DICK</td>
<td>S</td>
<td>060497</td>
<td>FOREST GROVE 820 ELM STREET</td>
</tr>
<tr>
<td>MARDOCK, CARRIE D</td>
<td>C</td>
<td>104029</td>
<td>LINCOLN 350 CANOPY STREET</td>
</tr>
<tr>
<td>MARDOCK, CARRIE D</td>
<td>E</td>
<td>104039</td>
<td>LINCOLN 300 CANOPY STREET</td>
</tr>
<tr>
<td>MARDOCK, ELI T</td>
<td>C</td>
<td>104029</td>
<td>LINCOLN 350 CANOPY STREET</td>
</tr>
<tr>
<td>MARDOCK, ELI T</td>
<td>E</td>
<td>104039</td>
<td>LINCOLN 300 CANOPY STREET</td>
</tr>
<tr>
<td>MARDOCK, ELI T</td>
<td>CK</td>
<td>121842</td>
<td>NEBRASKA CITY 108 SOUTH 8TH STREET</td>
</tr>
</tbody>
</table>

---

**For more details enter license number:**

---

DEPRESS:  ENTER - PROCESS  PA1 - RETURN TO MENU
          PF9  - PAGE FORWARD  PF14 - BEGINNING PAGE
STATE OF NEBRASKA
LIQUOR CONTROL COMMISSION

DELETED HISTORY NAME LOOKUP

LOOKUP BY: 1) NAME 2) TRD NM/CIT/ADDR

<table>
<thead>
<tr>
<th>NAME</th>
<th>CLASS LICENSE NBR</th>
<th>TRADE CITY</th>
</tr>
</thead>
<tbody>
<tr>
<td>MARDOCK, CARRIE D.</td>
<td>E 104039</td>
<td>LINCOLN 300 CANOPY STREET</td>
</tr>
</tbody>
</table>

FOR MORE DETAILS ENTER LICENSE NUMBER:

DEPRESS: ENTER - PROCESS  PA1 - RETURN TO MENU
          PF9 - PAGE FORWARD    PF14 - BEGINNING PAGE
CERTIFICATE OF ORGANIZATION

OF

HUNKY DORY, LLC

1. **Name.** The name of the company is HUNKY DORY, LLC.

2. **Duration.** The existence of the company is perpetual.

3. **Purpose: Powers.** The company is organized to engage in and to do any lawful act concerning any and all lawful business, other than banking or insurance, for which a limited liability company may be organized under the laws of Nebraska.

4. **Initial Designated Office.** The street address of the initial designated office of the company in Nebraska is:

   134 S. 13th Street, Suite 1200
   Lincoln, NE 68508

5. **Initial Agent.** The name of the initial agent and the street and mailing address for service of process on the company in Nebraska is:

   J. L. Spray
   134 South 13th Street, Suite 1200
   Lincoln, NE 68508

6. **Internal Affairs.** The regulations of the internal affairs of the company are set forth in the Operating Agreement of the company, and shall govern the operation of the business and the members accordingly.

   Executed in duplicate original counterparts by the undersigned this 17th day of November, 2017.

   HUNKY DORY, LLC
   
   By: [Signature]
   J. L. Spray, Organizer
STATE OF NEBRASKA ♦ SECRETARY OF STATE'S OFFICE
1445 "K" ST. ♦ STATE CAPITOL SUITE 1301 ♦ LINCOLN, NE • 68509
BUSINESS SERVICES DIVISION

Corporations
P.O. BOX 94608
(402) 471-4079

Uniform Commercial Code
P.O. BOX 95104
(402) 471-4080

Notary
P.O. BOX 95104
(402) 471-2558

JOHN A. GALE
Secretary of State

MATTSON RICKETTS LAW FIRM
SUITE 1200
134 SOUTH 13TH STREET
LINCOLN, NE 68508

November 17, 2017

ACKNOWLEDGEMENT OF FILING

The document(s) listed below were filed with the Nebraska Secretary of State's Office, Corporation Division. A label has been affixed to each filing signifying the filing stamp for the Nebraska Secretary of State's Office, Corporation Division. This filing label indicates the date and time of the filing and also references a document number that can be used to reference this filing in the future.

Please remember it is your responsibility to notify the Secretary of State's office of any change(s) in the information you filed.

ACKNOWLEDGEMENT OF FILING FEES RECEIVED

<table>
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<tr>
<th>Action/Service</th>
<th>Company/Entity Name</th>
<th>Fee Received</th>
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<tr>
<td>Certificate of Organization</td>
<td>HUNKY DORY, LLC</td>
<td>100.00</td>
</tr>
<tr>
<td>Per Page Charge</td>
<td>HUNKY DORY, LLC</td>
<td>5.00</td>
</tr>
<tr>
<td>Total Fees Received</td>
<td></td>
<td>$105.00</td>
</tr>
</tbody>
</table>

Abbey Creglow
Filing Officer
HUNKY DORY, LLC

SOS Account Number
10252326

Status
Active

Principal Office Address
No address on file

Registered Agent and Office Address
J.L. SPRAY
SUITE 1200
134 SOUTH 13TH STREET
LINCOLN, NE 68508

Designated Office Address
SUITE 1200
134 S. 13TH STREET
LINCOLN, NE 68508

Nature of Business
Not Available

Entity Type
Domestic LLC

Qualifying State: NE

Date Filed
Nov 17 2017

Filed Documents
Filed documents for HUNKY DORY, LLC may be available for purchase and downloading by selecting the Purchase Now button. Your Nebraska.gov account will be charged the indicated amount for each item you view. If no Purchase Now button appears, please contact Secretary of State's office to request document(s).

<table>
<thead>
<tr>
<th>Code</th>
<th>Document</th>
<th>Date Filed</th>
<th>Price</th>
<th>Purchase Now</th>
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</thead>
<tbody>
<tr>
<td>CRTO</td>
<td>Certificate of Organization</td>
<td>Nov 17 2017</td>
<td>$0.45 = 1 page(s) @ $0.45 per page</td>
<td>Purchase Now</td>
</tr>
<tr>
<td>PP</td>
<td>Proof of Publication</td>
<td>Dec 22 2017</td>
<td>$0.45 = 1 page(s) @ $0.45 per page</td>
<td>Purchase Now</td>
</tr>
</tbody>
</table>

Good Standing Documents
- If you need your Certificate of Good Standing Apostilled or Authenticated for use in another country, you must contact the Nebraska Secretary of State's office directly for information and instructions. Documents obtained from this site cannot be Apostilled or Authenticated.

Online Certificate of Good Standing with Electronic Validation
$6.50
This certificate is available for immediate viewing/printing from your desktop. A Verification ID is provided on the certificate to validate authenticity online at the Secretary of State's website.

Purchase Now
Congratulations!

Your Nebraska Voter Registration Application has been submitted. Your confirmation number is: 0000046099

The election commissioner or county clerk will, upon receipt of the application for registration, send an acknowledgment of registration to the applicant indicating whether the application is proper or not. You should receive this acknowledgment via mail from your local county official within 10-14 days.

**Personal Information**

- **First Legal Name**: ELI
- **Middle Legal Name**: TODD
- **Last Legal Name**: MARDOCK

**Current Address**

- **Address 1**: 1521 SUNSET RD
- **City**: LINCOLN
- **County**: Lancaster
- **State**: Nebraska
- **Zip Code**: 68506

**Additional Information**

No additional information provided

**Contact Information**

- **Work/Cell Number**: (402) 617-1173
- **Email Address**: elimardock@gmail.com

**Party Affiliation**

- **Party Affiliation**: Nonpartisan
  (Independent/No Party)
Registrant Search Information

Registrant Detail

Name: Carrie D Mardock
Party: Democrat
Polling Place: Knights of Columbus
6044 South St
(Main Hall)
Lincoln, NE 68506

Districts

DISTRICT NAME
Lincoln Public Schools
Southeast Com College Dist 5
Southeast Com College At Large
U.S. Congressional District 1
Appeals Court Judge Dist 1

DISTRICT TYPE
School District
Community College District
Community College District
U.S. Congressional District
Jurisdiction of Appeals Court Dist
Registrant Search Information

Registrant Detail

| Name:    | Luther M Mardock       |
| Party:   | Democrat               |
| Polling Place: | Bethel Lutheran Church |
|          | 1312 South 45th Street |
|          | Educational Wing (ALL VOTERS USE SW ENTRANCE) |
|          | Omaha, NE 68106        |

Districts

<table>
<thead>
<tr>
<th>DISTRICT NAME</th>
<th>DISTRICT TYPE</th>
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</thead>
<tbody>
<tr>
<td>Omaha Public Schools</td>
<td>School District</td>
</tr>
<tr>
<td>Metro Com College Dist 4</td>
<td>Community College District</td>
</tr>
<tr>
<td>U.S. Congressional District 2</td>
<td>U.S. Congressional District</td>
</tr>
<tr>
<td>Appeals Court Judge Dist 2</td>
<td>Judge of Appeals Court Dist.</td>
</tr>
<tr>
<td>County Judge Dist 4</td>
<td>Judge of County Court Dist.</td>
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