January 9, 2018

Mayor Beutler and City Council
City of Lincoln
City County Building
Lincoln, NE

Mayor Beutler and Members of the City Council:

An investigation has been made regarding the application of 13 Lo Enterprises, LLC, dba Luckie's Lounge & Grill, 1101 W. Bond Street, requesting that Amy Etgen be approved as the manager of the Class C-122204 liquor license.

Ms. Etgen has not yet completed the required alcohol management training. She is scheduled to attend on January 11, 2018.

Amy Etgen does not have any criminal convictions. Her driving record is as follows:

<table>
<thead>
<tr>
<th>Date</th>
<th>Violation Description</th>
<th>Type</th>
</tr>
</thead>
<tbody>
<tr>
<td>04-09-2015</td>
<td>Violate stop sign</td>
<td>Infraction</td>
</tr>
<tr>
<td></td>
<td>(Lancaster Co/LPD)</td>
<td></td>
</tr>
<tr>
<td>11-07-2012</td>
<td>Violate speed limit 11-15 over</td>
<td>Infraction</td>
</tr>
<tr>
<td></td>
<td>(Lancaster Co/LPD)</td>
<td></td>
</tr>
<tr>
<td>09-19-2008</td>
<td>Violate speed limit 11-15 over</td>
<td>Infraction</td>
</tr>
<tr>
<td></td>
<td>(Lancaster Co/LPD)</td>
<td></td>
</tr>
<tr>
<td>11-01-2007</td>
<td>Violate speed limit 11-15 over</td>
<td>Infraction</td>
</tr>
<tr>
<td></td>
<td>(Lancaster Co/LPD)</td>
<td></td>
</tr>
<tr>
<td>05-02-2007</td>
<td>Violate speed limit 6-10 over</td>
<td>Infraction</td>
</tr>
<tr>
<td></td>
<td>(Lancaster Co/LPD)</td>
<td></td>
</tr>
<tr>
<td>01-13-2005</td>
<td>Violate speed limit 11-15 over</td>
<td>Infraction</td>
</tr>
<tr>
<td></td>
<td>(Lancaster Co/LPD)</td>
<td></td>
</tr>
</tbody>
</table>

Ms. Etgen has worked at Luckie's Lounge for approximately eleven years and is currently the bar manager.

The application is included for your review.

If this application is approved, it should be with the understanding that it conforms to all the rules and regulations of Lincoln, Lancaster County and the State of Nebraska.

JEFFREY J. BLIMEISTER, Chief of Police
FORM MUST BE COMPLETELY FILLED OUT IN ORDER FOR APPLICATION TO BE PROCESSED

MANAGER MUST:
• Complete all sections of the application. Be sure it is signed by a member or corporate officer, corporate officer or member must be an individual on file with the Liquor Control Commission.

• Fingerprints are required. See form 147 for further information, read form carefully to avoid delays in processing, this form MUST be included with your application.

• Provide a copy of one of the following: US birth certificate, naturalization papers or current US passport (even if you have provided this before).

• Be a registered voter in the State of Nebraska, include a copy of voter card or print document from Secretary of State website with application.

Spouse who will not participate in the business, spouse must:
• Complete the Spousal Affidavit of Non Participation Insert (must be notarized). The non-participating spouse completes the top half, the manager completes the bottom half. Be sure to complete both halves of this form.

• Need not answer question #1 of the application.

Spouse who will participate in the business, the spouse must:
• Sign the application.

• Fingerprints are required. See form 147 for further information, read form carefully to avoid delays in processing, this form MUST be included with your application.

• Provide a copy of one of the following: birth certificate, naturalization papers or current US passport (even if you have provided this before).

• Be a registered voter in the state of Nebraska, include a copy of voter card with application.

• Spousal Affidavit of Non Participation Insert not required.
MANAGER APPLICATION
INSERT - FORM 3c

NEBRASKA LIQUOR CONTROL COMMISSION
301 CENTENNIAL MALL SOUTH
PO BOX 95046
LINCOLN, NE 68509-3046
PHONE: (402) 471-2571
FAX: (402) 471-2814
Website: www.lcc.nebraska.gov

MUST BE:
✓ Citizen of the United States. Include copy of US birth certificate, naturalization paper or current US passport
✓ Nebraska resident. Include copy of voter registration card or print out document from Secretary of State website
✓ Fingerprinted. See form 147 for further information, read form carefully to avoid delays in processing, this form MUST be included with your application
✓ 21 years of age or older

Corporation/LLC Information:
Name of Corporation/LLC: 13 Lo Enterprises, LLC

Premise Information
Liquor License Number: C-122204 Class Type: (if new application leave blank)
Premise Trade Name DBA: Luckies Lounge & Grill
Premise Street Address: 1101 W Bond
City: Lincoln County: Lancaster Zip Code: 68521
Premise Phone Number: 402-474-1101
Premise Email Address:

The individual whose name is listed as a corporate officer or managing member as reported on insert form 3a or 3b or listed with the Commission. To see authorized officers or members search your license information here.

[Signature]
owner 13 Lo Enterprises, LLC

SIGNATURE REQUIRED BY CORPORATE OFFICER / MANAGING MEMBER
(Faxed signatures are acceptable)
Manager's information must be completed below  PLEASE PRINT CLEARLY

Last Name: Etgen  First Name: Amy  MI: J
Home Address: 7301 Willow Ave
City: Lincoln  County: Lancaster  Zip Code: 68507
Home Phone Number: 402-440-3279
Driver's License Number & State:
Social Security Number:
Date Of Birth:  Place Of Birth: West Point NE
Email address: jatjel@msn.com

Are you married? If yes, complete spouse's information. (Even if a spousal affidavit has been submitted)

☑ YES  □ NO

Spouse's information
Spouses Last Name: Etgen  First Name: John  MI: J
Social Security Number:
Driver's License Number & State:
Date Of Birth:  Place Of Birth: Tilden NE

APPLICANT & SPOUSE MUST LIST RESIDENCE(S) FOR THE PAST TEN (10) YEARS

<table>
<thead>
<tr>
<th>CITY &amp; STATE</th>
<th>YEAR FROM</th>
<th>YEAR TO</th>
<th>CITY &amp; STATE</th>
<th>YEAR FROM</th>
<th>YEAR TO</th>
</tr>
</thead>
<tbody>
<tr>
<td>Lincoln NE</td>
<td>1994</td>
<td>present</td>
<td>Lincoln</td>
<td>1988</td>
<td>present</td>
</tr>
</tbody>
</table>
MANAGER'S LAST TWO EMPLOYERS

<table>
<thead>
<tr>
<th>YEAR FROM</th>
<th>TO</th>
<th>NAME OF EMPLOYER</th>
<th>NAME OF SUPERVISOR</th>
<th>TELEPHONE NUMBER</th>
</tr>
</thead>
<tbody>
<tr>
<td>2007</td>
<td>Pres</td>
<td>Luckies Lounge</td>
<td>Jesse Osborne</td>
<td></td>
</tr>
<tr>
<td>2005</td>
<td>2007</td>
<td>Farmers Mutual</td>
<td>Denise Brown</td>
<td>402-43484600</td>
</tr>
</tbody>
</table>

1. READ CAREFULLY. ANSWER COMPLETELY AND ACCURATELY. Must be completed by both applicant and spouse, unless spouse has filed an affidavit of non-participation.

Has anyone who is a party to this application, or their spouse, EVER been convicted of or plead guilty to any charge. Charge means any charge alleging a felony, misdemeanor, violation of a federal or state law, a violation of a local law, ordinance or resolution. List the nature of the charge, where the charge occurred and the year and month of the conviction or plea, include traffic violations. Also list any charges pending at the time of this application. If more than one party, please list charges by each individual’s name. Commission must be notified of any arrests and/or convictions that may occur after the date of signing this application.

☑ YES ☐ NO

If yes, please explain below or attach a separate page.

<table>
<thead>
<tr>
<th>Name of Applicant</th>
<th>Date of Conviction (mm/yyyy)</th>
<th>Where Convicted (City &amp; State)</th>
<th>Description of Charge</th>
<th>Disposition</th>
</tr>
</thead>
<tbody>
<tr>
<td>Amy J. Etgen</td>
<td></td>
<td></td>
<td>traffic Feb 2015</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>speeding</td>
<td></td>
</tr>
</tbody>
</table>

2. Have you or your spouse ever been approved or made application for a liquor license in Nebraska or any other state?

☐ YES ☑ NO

IF YES, list the name of the premise(s):

3. Do you, as a manager, qualify under Nebraska Liquor Control Act (§53-131.01) and do you intend to supervise, in person, the management of the business?

☑ YES ☐ NO
4. List the alcohol related training and or experience (when and where) of the person making application.

*NLCC Training Certificate Issued: ___________________________ Name on Certificate: ___________________________

<table>
<thead>
<tr>
<th>Applicant Name</th>
<th>Date (mm/yyyy)</th>
<th>Name of program (attach copy of course completion certificate)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Amy Etgen</td>
<td>2017</td>
<td>Responsible Beverage Permit</td>
</tr>
</tbody>
</table>

*For list of NLCC Certified Training Programs see training.

Experience:

<table>
<thead>
<tr>
<th>Applicant Name / Job Title</th>
<th>Date of Employment</th>
<th>Name &amp; Location of Business</th>
</tr>
</thead>
<tbody>
<tr>
<td>Amy Etgen - Bartender</td>
<td>3/2007-present</td>
<td>Luckies Lounge</td>
</tr>
<tr>
<td>Amy Etgen - Bar Manager</td>
<td>2010-present</td>
<td>Luckies Lounge</td>
</tr>
</tbody>
</table>

5. Have you enclosed form 147 regarding fingerprints?

☐ YES ☐ NO
PERSONAL OATH AND CONSENT OF INVESTIGATION

The above individual(s), being first duly sworn upon oath, deposes and states that the undersigned is the applicant and/or spouse of applicant who makes the above and foregoing application that said application has been read and that the contents thereof and all statements contained therein are true. If any false statement is made in any part of this application, the applicant(s) shall be deemed guilty of perjury and subject to penalties provided by law. (Sec 853-131.01) Nebraska Liquor Control Act.

The undersigned applicant hereby consents to an investigation of his/her background including all records of every kind and description including police records, tax records (State and Federal), and bank or lending institution records, and said applicant and spouse waive any rights or causes of action that said applicant or spouse may have against the Nebraska Liquor Control Commission and any other individual disclosing or releasing said information to the Nebraska Liquor Control Commission. If spouse has NO interest directly or indirectly, a spousal affidavit of non-participation may be attached.

The undersigned understand and acknowledge that any license issued, based on the information submitted in this application, is subject to cancellation if the information contained herein is incomplete, inaccurate, or fraudulent.

Signature of Manager Applicant

Signature of Spouse

ACKNOWLEDGEMENT

State of Nebraska
County of Lancaster

January 3, 2018

by Amy Etgen

NAME OF PERSON BEING ACKNOWLEDGED

Affix Seal

State of Nebraska – General Notary
CORBIN Z. WAJNIEK
My Commission Expires
December 3, 2019

In compliance with the ADA, this application is available in other formats for persons with disabilities. A ten day advance period is required in writing to produce the alternate format.
I acknowledge that I am the spouse of a liquor license holder. My signature below confirms that I will not have any interest, directly or indirectly in the operation of the business (§53-125(13)) of the Liquor Control Act. I will not tend bar, make sales, serve patrons, stock shelves, write checks, sign invoices, represent myself as the owner or in any way participate in the day to day operations of this business in any capacity. The penalty guideline for violation of this affidavit is cancellation of the liquor license.

☐ I acknowledge that I am the applicant of the non-participating spouse of the individual signing below. I understand that my spouse and I are responsible for compliance with the conditions set out above. If, it is determined that my spouse has violated (§53-125(13)) the commission may cancel or revoke the liquor license.

Signature of NON-PARTICIPATING SPOUSE

John Etyen
Print Name

State of Nebraska, County of Lancaster

The foregoing instrument was acknowledged before me this 3rd January 2018 (date)

by John Etyen
Name of person acknowledged (Individual signing document)

Notary Public Signature

Signature of APPLICANT

Amy Etyen
Print Name

State of Nebraska, County of Lancaster

The foregoing instrument was acknowledged before me this 3rd January 2018 (date)

by Amy Etyen
Name of person acknowledged (Individual signing document)

Notary Public Signature

In compliance with the ADA, this spousal affidavit of non participation is available in other formats for persons with disabilities.
A ten day advance period is requested in writing to produce the alternate format.
SUBMISSION OF FINGERPRINTS / PAYMENT OF FEES TO NSP-CID

NEBRASKA LIQUOR CONTROL COMMISSION
301 CENTENNIAL MALL SOUTH
PO BOX 95046
LINCOLN, NE 68509-5046
PHONE: (402) 471-2571
FAX: (402) 471-2814
Website: www.lc.leg.ne.gov

Applicant Name: Amy J Etten
(Corporation, LLC, Partnership or Individual)

Trade Name: Luckies Lounge + Grill
(Doing Business As)

Phone Number: 402-474-1101

Contact E-mail Address

DIRECTIONS FOR SUBMITTING FINGERPRINTS AND FEE PAYMENTS:

• FAILURE TO FILE FINGERPRINT CARDS AND PAY THE REQUIRED PROCESSING FEE TO THE NEBRASKA STATE PATROL WILL DELAY THE ISSUANCE OF YOUR LIQUOR LICENSE.

• See Application Requirement Guide for listing of Fingerprint Requirements, found on our website under “Licensing” tab in “Applicant Guidelines”.

• DO NOT send fee payments to the NLCC – fees MUST be paid directly to NSP:

• Fee payment of $45.25 per person MUST be made DIRECTLY to the Nebraska State Patrol:
  It is recommended to make payment through the NSP PayPort online system at www.ne.gov/go/nsp.
  Or a check made payable to NSP can be mailed directly to the following address:
  ***Please indicate on your payment who the payment is for (the name of the person being fingerprinted) and the payment is for a Liquor License.***
  The Nebraska State Patrol – CID Division
  3800 NW 12th Street
  Lincoln, NE 68521

• Fingerprints taken at NSP locations will be forwarded to NSP – CID:
  Applicant(s) will not have cards to include with license application.

• Fingerprints taken at local law enforcement offices will be released to the applicants:
  Fingerprint cards should be submitted with the application.

Please complete information on the following pages for EACH person fingerprinted.