

## RESOLUTION NO. A-\_\_\_\_\_

1           WHEREAS, the City of Lincoln, Nebraska, acting by and through the Mayor as the Chief  
2 Executive Officer and the City Council as the Legislative body of this City, with full citizen  
3 participation with reference thereto and in full compliance with the U.S. Department of Housing and  
4 Urban Development requirements, has prepared the 2005 - 2009 Strategic Plan for HUD  
5 Entitlement Programs under the provisions of 24 C.F.R., Part 91, et al.; and

6           WHEREAS, such plan includes the proposed community development activities and  
7 community development objectives, all prepared in full compliance with the requirements,  
8 instructions, and recommendations contained in the Community Development Block Grant, Home  
9 Investment Partnership Act, and American Dream Downpayment Initiative; and

10           WHEREAS, such plan and the items contained therein and each of them appear to be in  
11 the best interest of the City of Lincoln, Nebraska; and

12           WHEREAS, certain assurances must be incorporated into the City of Lincoln's 2005 - 2009  
13 Strategic Plan, as prescribed in the Community Development Block Grant, Home Investment  
14 Partnership Act, and American Dream Downpayment Initiative and 24 C.F.R., Part 91.

15           NOW, THEREFORE, BE IT RESOLVED by the City Council of the City of Lincoln,  
16 Nebraska:

17           That the aforesaid Strategic Plan will be submitted to the Housing and Urban Development  
18 in accordance with 24 C.F.R., Part 91 and in accordance with the instructions prescribed by HUD,  
19 and each and every item included therein is hereby approved. The Mayor and other City officials  
20 charged with responsibilities pertinent to the proposed certifications are hereby authorized to  
21 execute said certifications for and on behalf of the City of Lincoln, Nebraska, and the Mayor is  
22 hereby authorized and directed to execute said statement for and on behalf of the City of Lincoln,  
23 Nebraska, to submit same to the Secretary of Housing and Urban Development, or his designate,

1 in the form and substance as required by the Community Development Block Grant, Home  
2 Investment Partnership Act, and American Dream Downpayment Initiative, and to supplement such  
3 Strategic Plan in any way reasonably required by the Department of Housing and Urban  
4 Development to expedite approval of the same.

5 BE IT FURTHER RESOLVED that the City of Lincoln, Nebraska, hereby assures and  
6 certifies that it will comply with the regulations, policies, guidelines, and requirements of Federal  
7 Management Circulars 74-4 and 74-7 and OMB Circular A-87 and 24 Code of Federal Regulations,  
8 Part 85, as they relate to the Strategic Plan, acceptance and use of federal funds for these  
9 federally-assisted programs.

10 BE IT FURTHER RESOLVED that the City of Lincoln, Nebraska, hereby assures and  
11 certifies with respect to the Strategic Plan that:

12 1. It possesses legal authority to make a grant submission and to execute the proposed  
13 community development and housing program.

14 2. The City will affirmatively further fair housing.

15 3. The City has in effect and is following a residential anti-displacement and relocation  
16 assistance plan.

17 4. The City will continue to provide a drug-free workplace required by 24 CFR Part 24,  
18 Subpart F by:

19 a. Publishing a statement notifying employees that the unlawful manufacture,  
20 distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's  
21 workplace and specifying the actions that will be taken against employees for violation of such  
22 prohibition;

23 b. Establishing an ongoing drug-free awareness program to inform employees  
24 about -

25 i. The dangers of drug abuse in the workplace;

- 1                   ii.     The grantee's policy of maintaining a drug-free work-place;
- 2                   iii.    Any available drug counseling, rehabilitation, and employee
- 3                               assistance programs; and
- 4                   iv.     The penalties that may be imposed upon employees for drug abuse
- 5                               violations occurring in the workplace;
- 6               c.     Making it a requirement that each employee to be engaged in the
- 7 performance of the grant be given a copy of the statement required by subparagraph (1);
- 8               d.     Notifying the employee in the statement required by sub-paragraph (a) that,
- 9 as a condition of employment under the grant, the employee will:
- 10                   i.     Abide by the terms of the statement; and
- 11                   ii.    Notify the employer in writing of his or her conviction for a violation
- 12                               of a criminal drug statute occurring in the workplace no later than five
- 13                               calendar days after such conviction;
- 14               e.     Notifying the agency in writing, within ten calendar days after receiving notice
- 15 under subparagraph d.ii. from an employee or otherwise receiving actual notice of such conviction.
- 16 Employers of convicted employees must provide notice, including position title, to every grant officer
- 17 or other designed on whose grant activity the convicted employee was working, unless the Federal
- 18 agency has designated a central point for the receipt of such notices. Notice shall include the
- 19 identification number(s) of each affected grant;
- 20               f.     Taking one of the following actions, within 30 calendar days of receiving
- 21 notice under subparagraph d.ii., with respect to any employee who is so convicted
- 22                   i.     Taking appropriate personnel action against such an employee, up
- 23                               to and including termination, consistent with the requirements of the
- 24                               Rehabilitation Act of 1973, as amended; or

1                   ii.       Requiring such employee to participate satisfactorily in a drug abuse  
2                               assistance or rehabilitation program approved for such purposes by  
3                               a federal, state, or local health, law enforcement, or other  
4                               appropriate agency;

5                   g.       Making a good faith effort to continue to maintain a drug-free workplace  
6 through implementation of subparagraphs a, b, c, d, e, and f.

7                   5.       The City will comply with restrictions on lobbying required by 24 CFR part 87,  
8 together with disclosure forms if required by that part. The City further certifies that to the best of  
9 the City's knowledge and belief:

10                  a.       No federal appropriated funds have been paid or will be paid, by or on behalf  
11 of the City, to any person for influencing or attempting to influence any officer or employee of an  
12 agency, a member of Congress, an officer or employee of Congress, or an employee of a member  
13 of Congress in connection with the awarding of any federal contract, the making of any federal  
14 grant, the making of any federal loan, the entering into of any cooperative agreement, and the  
15 extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan,  
16 or cooperative agreement;

17                  b.       If any funds other than federal appropriated funds have been paid or will be  
18 paid to any person for influencing or attempting to influence an officer or employee of any agency,  
19 a member of congress, an officer or employee of Congress, or an employee of a member of  
20 Congress in connection with this federal contract, grant, loan, or cooperative agreement, it will  
21 complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance  
22 with its instructions; and

23                  c.       The City will require that the language of paragraph 4 of this certification be  
24 included in the award documents for all subawards at all tiers (including subcontracts, subgrants,  
25 and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall  
26 certify and disclose accordingly.

1           6.       The City possesses legal authority under state and local law to make a grant  
2 submission and to carry out the proposed community development and housing program for which  
3 it is seeking funding in accordance with applicable HUD regulations. By passage of this resolution,  
4 the Mayor, as the official representative of the City of Lincoln is hereby authorized to submit the  
5 Strategic Plan, including all the understandings and assurances contained therein. Further the  
6 Mayor is hereby directed and authorized to act in connection with the submission of the Strategic  
7 Plan and to provide such additional information as may be required.

8           7.       The housing activities to be undertaken with CDBG, HOME, and ADDI funds are  
9 consistent with the City's strategic plan.

10          8.       The City will comply with the acquisition and relocation requirements of the Uniform  
11 Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, as required  
12 under 24 C.F.R. § 570.606(b) and Federal implementing regulations; and the requirements in 24  
13 C.F.R. § 570.606(c) governing the residential antidisplacement and relocation assistance plan  
14 under Section 104(d) of the Act (including a certification that the grantee is following such a plan);  
15 and the relocation requirements of 24 C.F.R. § 570.606(d) governing optional relocation assistance  
16 under Section 105(a)(11) of the Act.

17          9.       The City will comply with Section 3 of the Housing and Urban Development Act of  
18 1968 (12 U.S.C. 1701a) and implementing regulations at 24 CFR Part 135.

19               BE IT FURTHER RESOLVED that the City of Lincoln hereby assures and certifies with  
20 respect to the Community Development Block Grant program portion of the Strategic Plan:

21          1.       The City is in full compliance and following a detailed citizen participation plan that  
22 satisfies the requirement of 24 CFR § 91.105 and which:

23               a.       Provides for and encourages citizen participation, with particular emphasis  
24 on participation by persons of low and moderate income who are residents of slum and blighted  
25 areas and of areas in which funds are proposed to be used, and provides for participation of  
26 residents in low and moderate income neighborhoods as defined by the City;

1                   b.       Provides citizens with reasonable and timely access to local meetings,  
2 information, and records relating to the City's proposed use of funds, as required by the regulations  
3 of the Secretary, and relating to the actual use of funds under the Act;

4                   c.       Provides for technical assistance to groups representative of persons of low  
5 and moderate income that request such assistance in developing proposals with the level and type  
6 of assistance to be determined by the grantee;

7                   d.       Provides for public hearings to obtain citizen views and to respond to  
8 proposals and questions at all stages of the community development program, including at least  
9 the development of needs, the review of proposed activities, and review of program performance,  
10 which hearings shall be held after adequate notice, at times and locations convenient to potential  
11 or actual beneficiaries, and with accommodation for the handicapped;

12                  e.       Provides for a timely written answer to written complaints and grievances,  
13 within 15 working days where practicable; and

14                  f.       Identifies how the needs of non-English speaking residents will be met in the  
15 case of public hearings where a significant number of non-English speaking residents can be  
16 reasonably expected to participate;

17                  2.       The City's strategic housing and community development plan identifies community  
18 development and housing needs and specifies both short-term and long-term community  
19 development objectives that have been in accordance with the primary objective of the statute  
20 authorizing the CDBG Program, as described in 24 CFR 570.2 and the requirements of 24 CFR  
21 Part 91 Subpart C and 24 CFR Part 570.

22                  3.       The City is following a current HUD approved consolidated plan.

23                  4.       The City has developed its final statement of projected use of funds so as to give  
24 maximum feasible priority to activities which benefit low and moderate income families or aid in the  
25 prevention or elimination of slums or blight; (the final statement of projected use of funds may also  
26 include activities which the grantee certifies are designed to meet other community development

1 needs having a particular urgency because existing conditions pose a serious and immediate threat  
2 to the health or welfare of the community, and other financial resources are not available); except  
3 that the aggregate use of CDBG funds received under Section 106 of the Act and, if applicable,  
4 under Section 108 of the Act, during program years 2005, 2006, 2007, 2008, and 2009 shall  
5 principally benefit persons of low and moderate income in a manner that ensures that not less than  
6 70 percent of such funds are used for activities that benefit such persons during such period.

7 The City will not attempt to recover any capital costs of public improvements assisted in  
8 whole or in part with funds provided under Section 106 of the Act or with amounts resulting from  
9 a guarantee under Section 108 of the Act by assessing any amount against properties owned and  
10 occupied by persons of low and moderate income, including any fee charged or assessment made  
11 as a condition of obtaining access to such public improvements, unless:

12 a. Funds received under Section 106 of the Act are used to pay the proportion  
13 of such fee or assessment that relates to the capital costs of such public improvements that are  
14 financed from revenue sources other than under Title I of the Act; or

15 b. For purposes of assessing any amount against properties owned and  
16 occupied by persons of moderate income, the City certifies to the Secretary that it lacks sufficient  
17 funds received under Section 106 of the Act to comply with the requirements of subparagraph (1)  
18 above.

19 5. The City has adopted and is enforcing:

20 a. A policy prohibiting the use of excessive force by law enforcement agencies  
21 within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and

22 b. A policy of enforcing applicable state and local laws against physically barring  
23 entrance to or exit from a facility or location which is the subject of such non-violent civil rights  
24 demonstrations within its jurisdiction.

1           6.       The City will conduct and administer the grant in compliance with Title VI of the Civil  
2 Rights Act of 1964 (Public Law 88-352, 42 U.S.C. 2000d et seq.), the Fair Housing Act (42 U.S.C.  
3 3601-19), and implementing regulations.

4           7.       The City's notification, inspection, testing and abatement procedures concerning  
5 lead-based paint will comply with 24 C.F.R. § 570.608.

6           8.       The City will comply with all applicable law.

7           BE IT FURTHER RESOLVED that the City of Lincoln hereby assumes and certifies with  
8 respect to the HOME program portion of the Strategic Plan that:

9           1.       The tenant-based assistance is an essential element of its strategic plan;

10          2.       The City is using and will use HOME funds for eligible activities and costs, as  
11 described in §§ 92.205 through 92.209 of 24 C.F.R., Subtitle A, and that it is not using and will not  
12 use HOME funds for prohibited activities, as described in § 92.214 of 24 C.F.R. Subtitle A;

13          3.       Before committing funds to a project, the City will evaluate the project in accordance  
14 with guidelines that it adopts for this purpose and will not invest any more HOME funds in  
15 combination with other federal assistance than is necessary to provide affordable housing.

Introduced by:

\_\_\_\_\_

Approved as to Form & Legality:

\_\_\_\_\_  
City Attorney

Approved this \_\_\_ day of \_\_\_\_\_, 2005:

\_\_\_\_\_  
Mayor